Observations of a glaciating hole-punch cloud

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In his fascinating review of fallstreak holes or ‘hole-punch’ clouds, David Pedgley (2008) remarked that there were no measurements into the microphysics and dynamics of hole-punch clouds from ground-based remote sensors because the probability of a hole drifting into the instrument sample volume is so small. Here we present some remarkable measurements of exactly that, which we were lucky enough to make at the Chilbolton Observatory in Hampshire.

What are they?

These circular (or sometimes cylindrical) holes are formed when aircraft penetrate supercooled liquid cloud layers such as altocumulus. The passage of the aircraft is believed to produce large numbers of ice crystals, which then grow quickly by the Bergeron-Findeison mechanism in the vapour-rich conditions (water-saturated air is supersaturated relative to ice). This growth depletes the cloud of water vapour, causing the liquid droplets to evaporate and the formation of a hole in the liquid cloud layer with a visible trail of ice crystals falling out beneath. Photographs of these spectacular phenomena are a regular feature in Weather (see for example the front cover of the March 2009 issue); more details of the physical mechanisms behind their formation are explored by Pedgley (2008) in his review.

Observations

On 17 April 2007, the Chilbolton area was overcast by stratocumulus for much of the early morning, as indicated by the 0600 UTC radiosonde ascent from Larkhill, 25 kilometres west of Chilbolton (Figure 1), which shows liquid water saturated layers a few hundred metres thick at approximately 1000 metres and 2000 metres altitude. As the morning went on, this low-level cloud broke up somewhat, allowing the ground-based Light Detection And Ranging Systems (LiDARs) at Chilbolton to see through to a higher level altocumulus layer above. The time series from the 905nm LiDAR ceilometer (Figure 2, top right panel) shows this altocumulus layer as a thin red strip of strong backscatter (10⁻⁴m⁻¹sr⁻¹ or greater) between 5400 and 5600 metres. LiDAR measurements are extremely sensitive to liquid clouds, because the concentration of droplets in such clouds is so high, making them very reflective to infrared (and visible) light; the clouds are also optically thick for the same reason and attenuate the LiDAR beam over depths of a few hundred metres. The radiosonde ascent confirms the presence of a liquid water-saturated layer at this altitude, at a temperature of approximately −20°C, and the droplets in this cloud are therefore supercooled. The air

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above the cloud layer is drier and more stable, inhibiting further vertical development. Aircraft flying at all levels are often observed in the Chilbolton area, particularly to the west and north. These may come from nearby Popham and Thruxton airfields, but also frequently from further afield. Examination of the time series from an automated camera at Chilbolton does suggest aircraft activity to the west on this particular morning; however, the resolution of the digital camera is too coarse to provide any indication of what type.

Although ice crystals were being produced naturally by the altocumulus layer as a small fraction of the droplets froze, the ceilometer is quite insensitive to these crystals, particularly during the day when the background noise level is much higher because of skylight entering the telescope. They are, however, highly visible to the second LiDAR at Chilbolton, a 1.5μm Doppler LiDAR, and the time series from this instrument is also shown in Figure 2. This instrument points directly at vertical (the ceilometer points 4° off) and because of this it is highly sensitive to the presence of flat, plate-like, ice crystals which typically fall with their longest axes aligned horizontally due to aerodynamic forces. These oriented crystals act like tiny mirrors, producing strong specular reflections of the laser beam back to the telescope, and this is measured by the LiDAR as a very large backscatter (but, unlike liquid cloud, there is very little attenuation). This phenomenon turns out to be rather common in ice falling out of supercooled liquid cloud layers (Westbrook et al., 2009), particularly at temperatures between –10 and –20°C where plate-like crystals grow big and flat in the vapour-rich environment. The highly reflective virga of oriented crystals are visible between 5400 and 4700 metres. Note that the base of the fallstreak is around 700 metres lower than the natural virga either side of it, indicating that this dry air has been moistened sufficiently by the large flux of evaporating ice crystals that are able to survive the extra 700 metres of fall.

A photograph from the Chilbolton cloud camera (Figure 3) at 0951 UTC clearly shows the Rayleigh-Bénard like cells of liquid cloud as the air is cooled radiatively from the top, destabilising the air and producing gentle convective overturning. The ice virga, however, are almost invisible to the eye, accounting for the ceilometer’s insensitivity to them.

Figure 3 shows a second photograph taken at 0956 UTC: a circular hole in the cloud layer is clearly visible directly overhead. Note that a visible ice fallstreak can be seen beneath the hole – this indicates that many new ice particles have been nucleated and have grown, substantially increasing the ice content and optical depth relative to the surrounding ‘ambient’ ice virga. The LiDAR ceilometer, which until this point had not detected any ice, shows a very strong reflection from this fallstreak beneath the hole (5000–4500 metres), with backscatter values comparable to those found in the liquid cloud. This backscatter is an order of magnitude larger than is typically observed in ice phase cloud (Westbrook et al., 2009). Note the gap in the liquid layer as the hole drifted overhead: this is approximately 3000 metres wide. Similarly the backscatter from the Doppler LiDAR is now approximately ten times larger than before; again a clear gap can be seen in the liquid cloud layer. Note that because of the 4° offset in pointing angle, the Doppler LiDAR is sampling a slightly different cross-section of the

Figure 2. LiDAR and radar time series. (a) shows backscatter from vertically pointing Doppler LiDAR. (b) shows backscatter from LiDAR ceilometer pointing 4° away from vertical. (c) shows radar reflectivity measured while dwelling at 45° elevation due west; (d) shows vertical velocity measurements from the Doppler LiDAR. LiDAR backscatter colour scales are logarithmic = \( \log_{10} \left[ m^{-1} sr^{-1} \right] \); radar reflectivity colour scale is in dBZ = \( 10 \log_{10} \left[ \text{mm}^6 \text{m}^{-3} \right] \). Doppler velocity scale is in \( \text{m s}^{-1} \), positive values indicate particles moving away from the LiDAR (i.e. upwards).
fallstreak and hole, hence the hole appears narrower in the Doppler LiDAR time series (4° corresponds to a 350 metre horizontal offset at 5000 metres altitude).

At the same time, the 10cm CAMRa radar (Kilburn et al., 2000) was dwelling at 45° elevation pointing to the west of Chilbolton (into the wind). The time series from this instrument is shown in Figure 2. At this much longer wavelength, the tiny cloud droplets are essentially invisible (radar reflectivity is proportional to the particle mass squared) and the returns are dominated by ice crystals. Again we observe that prior to the hole-punch there was very little ice being produced, with peak reflectivities of ≈ −5dBZ (corresponding to an ice water content of around 0.01 gm⁻³, Hogan et al., 2006); note much of the virga is close to the background signal level produced by noise and ground clutter. The ice falling out of the hole-punch is visible as a streak of enhanced reflectivity, with values approximately 10dB (one order of magnitude) larger in the ice fallstreak than in the surrounding virga. The CAMRa radar also sends out pulses at different polarisations, and the parameter Zopol is the ratio of the signal for waves polarised horizontally vs those polarised at 45° from the horizontal plane (in dB logarithmic units). This ratio contains information on the orientation, aspect ratio and density of the ice crystals. In the bulk of the ice virga the radar reflectivity was too weak to accurately estimate Zopol, however, in the strongest part of the fallstreak (>0dBZ) Zopol was 1.2±0.3dB. This mean value confirms that horizontally oriented ice crystals were indeed present, since the horizontally polarised reflectivity is higher than that at 45° (randomly oriented crystals would have Zopol=0dB). Laboratory experiments by Takahashi et al. (1991) show that ice crystals grown in supercooled conditions at −20°C favour a thick hexagonal plate form, with an aspect ratio in the range 2.1–2.6 to 1. Assuming that all of the crystals were horizontally oriented and composed of solid ice, a theoretical calculation can be made to estimate what Zpol ought to be (for details see appendix in Westbrook et al., 2009). The calculated values are 1.5–1.8dB, which suggests that the majority of crystals in the observed fallstreak (1.2dB) were well oriented, and have similar properties to those grown in the lab.

**Origin of the fallstreak ice crystals**

As discussed by Pedgley (2008), the hypothesis that the crystals are produced by aerosol particles emitted by the aircraft exhaust has largely been discounted, due to the observations that a wide variety of aircraft burning different types of fuel are equally able to produce hole-punches, and that they have been observed at temperatures as high as −7°C (Rangno and Hobbs, 1983;1984) where very few substances are able to act as active ice nuclei (Pruppacher and Klett, 1997).

The generally accepted explanation is that hole-punches are produced by ‘aerodynamic contrails’, i.e. trails of ice crystals produced in the rapidly expanding air at the tips of the wings (and flaps, gears and propellers if present). As the air expands it cools to ≈ −40°C for a brief instant before mixing with the ambient air; at this very low temperature liquid water droplets are able to freeze very rapidly without the aid of an aerosol particle (homogeneous freezing). However, there is a subtlety: it is unclear whether (a) it is the droplets in the cloud which are frozen as they pass through this expanding air, or (b) whether new droplets are also formed in the expansion, which then go on to freeze. Both mechanisms were highlighted by Pedgley (2008): here our LiDAR and radar observations give some insight into which mechanism is probably dominant.

Figure 3. Two consecutive photographs of the sky from the Chilbolton cloud camera. (a) was taken at 0951 UTC; (b) was taken five minutes later and shows a large circular hole in the altocumulus layer with a highly reflective ice fallstreak beneath.

Figure 4. Thin helical ribbon of condensation formed in the rapidly cooled air behind the propeller tips of an aircraft. (© William L. Woodley.)
It seems that the hole-punch fallstreak in our observations contained a substantially enhanced population of ice crystals relative to the natural virga, and this is borne out by the fact that it is so visible in the cloud camera photograph. The enhancement in the LiDAR backscatter and radar reflectivity is a factor of ten. The implication therefore is that the crystal concentration is ten times larger. We first consider mechanism (a). A typical altocumulus cloud has around 200 cm⁻³ droplets (Hobbs and Rangno, 1985) – these would be frozen in a thin ribbon behind the wing tips, each perhaps only ten centimetres wide. However, by the time we observed the fallstreak it was approximately 500 metres across – thus the concentration of crystals at that point in time must have been diluted by 500³/(2×10¹⁰), approximately ten million-fold. This means that only around 0.02 crystals per litre of air would be present in the fallstreak – compare that to typical concentrations of crystals in natural altocumulus virga of 1–10 per litre (Hobbs and Rangno, 1985). So the enhancement of the LiDAR backscatter and radar reflectivity relative to the surrounding virga would be insignificant; nor would the fallstreak be so visually striking in the cloud camera photograph. We therefore discount mechanism (a).

Our observations point to mechanism (b) as the dominant source of ice crystals. As the air expands and cools rapidly behind the propeller blades and wing tips, new water droplets are formed in the process. Figure 4 shows an example of a trail of liquid droplets being formed at the tips of an aircraft’s propellers by this process whilst flying in warm air. Because the saturation vapour pressure for liquid water is ten times lower at −40°C compared to the ambient air at −20°C, an enormous (but very localised) relative humidity of almost 800% is possible for a fraction of a second following the expansion of the water-saturated air in the liquid cloud layer. This allows liquid droplets to form homogeneously (directly from vapour, without an aerosol particle) – something that never occurs naturally in the atmosphere (Mason, 1971) but which is possible here because of the extremely rapid expansion (Maybank and Mason, 1951). The production rate of new droplets via this mechanism may be calculated (following Foster and Hallett (2003)), and we find that it is a prolific source (∼10⁴ m⁻³ s⁻¹) of new, miniscule, droplets, which then freeze immediately, since at −40°C the rate of homogeneous freezing is even faster than the droplets are being produced (Pruppacher and Klett, 1997). Even assuming that the air maintains this high humidity for a mere 0.01 seconds, 10⁴ m⁻³ s⁻¹ new ice crystals would be formed in the condensation ribbon; diluting this to a 500-metre width still leaves 100 ice crystals per litre in the fallstreak, an order of magnitude or more higher than the natural virga concentration, and easily capable of explaining our observed LiDAR and radar measurements. This explanation has also been highlighted as most likely by Woodley et al. (1991; 2003), Foster and Hallett (1993) and Vonnegut (1986). Truly then, hole-punch clouds are an extreme example of nucleation and glaciation, and in fact the mechanism described above is essentially identical to that which occurs when clouds are seeded using dry ice, the surface of which is so cold (−78°C) that the air in the immediate vicinity is rapidly cooled to below −40°C for a short period, again producing numerous tiny crystals via homogeneous condensation and freezing (Mason, 1981).

Mirror reflections
This mechanism for producing the ice crystals also probably explains the strong specular reflection which was observed. Large cloud droplets frozen at low temperatures develop multiple crystallographic directions and grow into complex polycrystals (Bacon et al., 2003), which would not produce the observed mirror reflections. However, polycrystal development is a strong function of droplet size (Pitter and Pruppacher, 1973): if tiny droplets are formed homogeneously and freeze before having a chance to grow to 10 μm or more, they will freeze into single hexagonal crystals and then grow into simple planar forms at the ambient cloud temperature of −20°C. Experimental support for this idea is provided by Mason (1953) who found that simple hexagonal-type crystals are produced in supercooled clouds seeded with dry ice at temperatures as low as −39°C: we expect ice crystals from hole-punch clouds to follow the same behaviour. Similarly, Rangno and Hobbs (1983; 1984) sampled the ice particle fallstreaks produced when their aircraft penetrated altocumulus layers and found large concentrations of simple hexagonal columns at −7°C and hexagonal plates at −11°C. This idea also offers an explanation for Pedgley’s difficulty in explaining observations of a mock sun produced by a hole-punch fallstreak at a temperature of −24°C. This optical phenomenon is also the result of mirror reflections (of sunlight) from horizontally oriented plate-like crystals. Again, we expect that the crystals grew in a plate-like manner typical of the ambient temperature (Bacon et al., 2003; Bailey and Hallett, 2004), but whereas cloud droplets might grow into complex polycrystals, the tiny initial droplets produced here would grow into simple thick plates, which tend to orient horizontally as they fall (Podzimek, 1968) and reflect the sunlight as observed.

Vertical air motions
The vertical velocities measured by the Doppler LiDAR in Figure 2 are very intriguing. Positive values indicate particles ascending away from the LiDAR, negative values indicate particles falling toward the LiDAR. In the liquid layer we see small up and down motions corresponding to the gentle convective overturning at cloud top. Directly beneath the hole, the crystals are seen to be falling at a few tens of centimetres per second: such values are typical of small planar crystals settling with their major axis horizontal (Pruppacher and Klett, 1997). However, at either side of the main fallstreak there appear to be vertical columns of rising air (ice crystals ascending at 0.2 m s⁻¹) throughout the depth of the virga, and more vigorous convective overturning in the cloud layer at the edge of the hole (cloud droplets rising at up to 0.5 m s⁻¹). It almost appears as though the fallstreak has caused a ‘ripple’ in the surrounding air. One possibility is that the enormous flux of ice crystals into the dry, stable air below has caused substantial evaporative cooling, and therefore turbulence. We speculate that this disturbance of the stable air layer produces oscillations which may then in turn disrupt the air in the cloud layer above, promoting condensation and mixing, and perhaps helping to reform the liquid cloud layer from the edges as the ice falls out. Alternatively, the large moisture flux may have been sufficient to destabilise the virga itself, leading to convective motion through the depth of the fallstreak and its immediate surroundings.

Do readers have any other observations or ideas on hole-punch clouds which might build on or disprove some of the ideas presented above? With the advent of cheap digital cameras with video capability, it should now be possible to capture the evolution of a hole-punch over ten minutes or so (if there’s no low cloud in the way) – so if you’re lucky enough to spot a hole, get filming!

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References
Robust adaptation to climate change

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Introduction

Coupled ocean/atmosphere general circulation models (OA/GCMs) have been instrumental in showing the need for global action to curb the anthropogenic emissions that cause climate change. It may be contested, however, that these tools have been less helpful in informing how to adapt at regional and local scales (Schiermeier, 2007). Given the legacy of past emissions and the prospect of unavoidable climate change, the case for committing more financial and technical resources to adaptation is gaining ground (UNDP, 2007; Parry et al., 2009). This poses a challenging question: how can we ensure that adaptation measures realize societal benefits now, and over coming decades, despite uncertainty about climate variability and change?

The scientific community is developing regional climate downscaling (RCD) techniques to reconcile the scale mismatch between coarse-resolution OA/GCMs and location-specific information needs of adaptation planners. The resulting ‘scenarios’ are regarded as plausible descriptions of the future climate that reflect the influence of local topography and/or land-sea effects, and their interactions with changing synoptic-scale weather patterns under rising concentrations of greenhouse gases. Thanks to widely available and user-friendly tools, the volume of peer-reviewed research on RCD has grown dramatically over the last decade (Wilby et al., 2009). It is becoming apparent, however, that downscaling also has serious practical limitations, especially where the meteorological data needed for model calibration may be of dubious quality or patchy, the links between regional and local climate are poorly understood or resolved, and where technical capacity is not in place. Another concern is that high-resolution downscaling can be misconstrued as accurate downscaling (Dessai et al., 2009). In other words, our ability to downscale to finer time and space scales does not imply that our confidence is any greater in the resulting scenarios.

The value of high-resolution climate change scenarios for long-term planning may be questionable wherever climate variability is already stressing human and environmental systems. For instance, parts of North Africa and the Middle East are facing a water crisis due to rapid population growth, combined with weak governance, climate variability and limited renewable supplies. Under these circumstances, even achieving Millennium Development Goals (MDGs) by 2015 (such as access to safe drinking water) may seem a remote prospect, let alone sustaining other vital societal benefits such as access to safe drinking water (Woodley et al., 2009). This poses a challenging question: how can we ensure that adaptation measures realize societal benefits now, and over coming decades, despite uncertainty about climate variability and change?

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