

## Impact of new measurements of oxygen collision-induced absorption on estimates of short-wave atmospheric absorption

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### SUMMARY

A new set of laboratory measurements of the 1.06  $\mu\text{m}$  and 1.27  $\mu\text{m}$  collision-induced bands of gaseous oxygen is presented. Absorption by pure oxygen and mixtures of oxygen with nitrogen and argon was observed using a Fourier transform spectrometer for temperatures between 230 and 295 K and pressures between 1 and 5 bar. Binary cross-sections derived from the measurements were used to estimate the impacts on estimates of clear-sky climatological absorption of solar irradiance. Monthly climatological atmospheric profiles averaged over 10° latitude belts were used to study the temporal and annual variation of the impacts. The global- and annual-mean clear-sky extra-absorption was 0.58  $\text{W m}^{-2}$  (about 1% of the absorption by water vapour and ozone), 0.42  $\text{W m}^{-2}$  due to the 1.27  $\mu\text{m}$  band and 0.16  $\text{W m}^{-2}$  due to the 1.06  $\mu\text{m}$  band. If estimates for other oxygen collision-induced bands taken from previous studies are added, an overall impact of about 1  $\text{W m}^{-2}$  results.

KEYWORDS: Collision complexes Dimers Near-infrared absorption

### 1. INTRODUCTION

There remains a considerable debate over the amount of solar radiation absorbed by the atmosphere in both clear and cloudy skies (e.g. Arking 1999a,b; Halthore and Schwartz 2000; Zender *et al.* 1997; Wild and Ohmura 1999). For clear skies, there is a divergence of opinion as to whether observations are in agreement with models. Mlawer *et al.* (2000) and Brown *et al.* (1999a,b) considered that there is no evidence for any disagreement, whilst Halthore and Schwartz (2000) found that models underestimate the absorption by 4%, and Wild and Ohmura (1999) found a model underestimate of about 10%. There are several possible causes of such a discrepancy, if it exists. Some studies regard water vapour as the major cause of the discrepancy whilst acknowledging the possible importance of aerosols (Arking 1999a,b). Updates to water-vapour spectral databases can cause an increased absorption of around 1% (Chagas *et al.* 2001, Zhong *et al.* 2001) as can absorption by the water-vapour dimer (Vaida *et al.* 2001). The possibility of some missed gaseous absorption process at visible wavelengths was also pointed out (Kato *et al.* 1997) and evidence of the importance of absorbing aerosols has been presented (Cusack *et al.* 1998; Wild 1999). The present paper investigates the possible impact of collision-induced oxygen bands on estimates of short-wave atmospheric absorption.

Absorption of solar radiation by oxygen has been included in recent comprehensive radiative-transfer schemes inside general-circulation models (GCMs). This is usually done by using line parameters from spectroscopic databases, which refer to transitions allowed by spectroscopic selection rules. However, otherwise forbidden transitions can be induced by collisions between molecules. In contrast to the absorption lines of the free molecule, these collisional bands appear as unstructured absorption continua. As cross-section data for these bands are usually limited in spectroscopic databases, their effects have generally not been adequately accounted for in current GCMs.

In the case of oxygen, the selection rules for some electric-dipole forbidden transitions in one or both molecules are relaxed during collisions, and absorption can occur.

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TABLE 1. GLOBALLY AVERAGED SHORT-WAVE ABSORPTION ( $\text{W m}^{-2}$ ) BY OXYGEN COLLISION-INDUCED ABSORPTION FROM DIFFERENT STUDIES

Complex Band ( $\mu\text{m}$ )	$\text{O}_2\text{-O}_2$				$\text{O}_2\text{-N}_2$	Total	
	Vis	1.06	1.27	1.58	1.27		
Pfeilsticker <i>et al.</i> (1997)	0.53					0.53	(c)
	0.57					0.57	(a)
Mlawer <i>et al.</i> (1998)		0.10	0.29	0.03		0.42	
						0.84	(c)
Solomon <i>et al.</i> (1998)	0.42	0.19	0.19–0.30		0.07–0.31	0.87–1.22	(c)
	0.45	0.20	0.20–0.32		0.08–0.34	0.93–1.31	(a)
Zender (1999)	0.78				0.17	0.94	(c)
	0.78				0.15	0.93	(a)

Vis—visible/near-ultraviolet band; (c)—clear sky; (a)—all sky. The total, 0.84, of Mlawer *et al.* (1998) includes 0.42 for the visible band taken from Solomon *et al.* (1998).

Such collision-induced bands are sometimes referred to, in other studies, as being due to collision complexes or dimers. Those transitions can also be induced by collisions between oxygen and different molecules. This phenomenon has been observed for about a century (see e.g. Solomon *et al.* (1998), and references therein) and its possible contribution to the anomalous short-wave absorption problem has been the focus of several recent studies (e.g. Pfeilsticker *et al.* 1997; Mlawer *et al.* 1998; Solomon *et al.* 1998; Zender 1999).

Greenblatt *et al.* (1990) carried out laboratory measurements of the cross-sections over the visible/near-ultraviolet (visible for short) and 1.06  $\mu\text{m}$  bands, which form the basis for some of the subsequent estimates of the global effect of the collision-induced short-wave absorption by oxygen summarized in Table 1. Pfeilsticker *et al.* (1997) combined these measurements with atmospheric observations to produce their global estimates.

Mlawer *et al.* (1998) used high-resolution atmospheric observations of the three main collision-induced bands of the infrared system to evaluate their combined global impact on short-wave absorption. Solomon *et al.* (1998) used the Greenblatt *et al.* (1990) cross-sections for the visible and 1.06  $\mu\text{m}$  collision-induced bands. For the 1.27  $\mu\text{m}$  collision-induced band, they stretched the 1.06  $\mu\text{m}$  band shape in order to fit relationships between the integrated intensity of the two bands taken from older low resolution measurements (Dianov-Klokov 1964; McKellar *et al.* 1972). They also used previous estimates of the efficiency of nitrogen as a collision partner if compared with oxygen itself to estimate the additional absorption by oxygen–nitrogen collisions (Ketelaar 1955; Cho *et al.* 1963; Badger *et al.* 1965). As a consequence of using estimates from different studies, their 1.27  $\mu\text{m}$  band and total results are presented in Table 1 as ranges of variation rather than specific values. They stressed the need for ‘modern’ laboratory observations of the 1.27  $\mu\text{m}$  collision continuum.

All those studies took estimates for a particular atmospheric profile and extrapolated them to mean global conditions, a procedure that attaches some uncertainty to their figures. Pfeilsticker *et al.* (1997) made some assumptions and estimated a global mean of 0.57  $\text{W m}^{-2}$  for the oxygen collision-induced absorption, which is about 42% of their estimates of 1.35  $\text{W m}^{-2}$  for mid-latitude summer atmosphere, overhead Sun, clear-sky absorption. Solomon *et al.* (1998) adopted the same ratio to produce global estimates from mid-latitude summer, overhead Sun absorption. But the differences between global

estimates (especially between figures from Mlawer *et al.* (1998) and Solomon *et al.* (1998)) are mainly associated with differences in the cross-sections.

Zender (1999) used a GCM to obtain a temporal and spatial distribution of the effect of oxygen collision pairs on atmospheric absorption using Solomon *et al.*'s (1998) binary cross-sections. He considered the binary cross-sections constant with temperature and made particular choices for the scaling factor between integrated intensities of the 1.06  $\mu\text{m}$  and 1.27  $\mu\text{m}$  bands and for the efficiency of nitrogen as a collision partner from the range of values summarized by Solomon *et al.* (1998). His global results (Table 1) are a true global mean derived using a GCM climatology, but they share the main weakness of the other studies (except perhaps Mlawer *et al.* (1998)), the rather crude estimates of the cross-sections for the 1.27  $\mu\text{m}$  band. Recent high-resolution laboratory measurements of the 1.27  $\mu\text{m}$  continuum have been reported by Maté *et al.* (1999) and Smith and Newnham (1999, 2000), who also reported measurements of the 1.06  $\mu\text{m}$  continuum. Smith and Newnham's (1999, 2000) measurements were all made at about 1 bar pressure.

In order to extrapolate confidently laboratory cross-sections to atmospheric conditions (pressures below 1000 hPa) and to describe better the collision-induced bands, this paper presents a new set of measurements carried out at the Molecular Spectroscopy Facility (MSF) of the Rutherford Appleton Laboratory (RAL) using a Fourier transform spectrometer (FTS). They included pure oxygen and mixtures of oxygen with nitrogen and argon for temperatures between 230 and 295 K and pressures between 1 and 5 bar. An absorber path length of about 13 m was used. Our aim here is to describe these new measurements and their use to estimate the impact of the oxygen collision-induced bands on the atmospheric short-wave absorption. Section 2 describes the laboratory measurements and the process used to obtain cross-sections from the recorded spectra. Also presented is an error analysis of the resulting cross-sections and comparisons with previous measurements. Section 3 deals with the use of the derived cross-sections in clear-sky atmospheric radiative-transfer calculations. Calculations for a particular atmospheric profile are presented and followed by a discussion of climatological estimates for selected months over latitude belts along with global averages. The main conclusions are presented in section 4.

## 2. LABORATORY MEASUREMENTS

### (a) *Description of the experiments*

Experimental details of the measurements carried out at the MSF/RAL are described by Newnham and Ballard (1998) and Smith and Newnham (2000) and can be summarized as follows:

- Spectrometer: Bruker IFS 120HR Fourier transform spectrometer.
- Source: 150 W quartz-tungsten-halogen (Osram Type HLX61640).
- Beam-splitter: silica-coated calcium fluoride (near-infrared).
- Spectral resolution: defined as 0.9/MOPD, where MOPD is the maximum optical path difference between the fixed and moving mirror of the spectrometer's interferometer. Apodization (Norton–Beer, Strong for resolution = 0.05  $\text{cm}^{-1}$  and Blackman–Harris, three-term at lower resolutions) has been applied to all sample and background spectra.
- Gas cell: RAL short-path-length absorption cell (SPAC). The optical path length is given by the expression  $\{(2n + 2) \times R\} + d$  where  $n = 1, 3, 5, \dots$  is the number of images on the field mirror and  $R$  is the radius of curvature of the spherical

TABLE 2. SAMPLE DESCRIPTION, TEMPERATURE AND PRESSURE FOR THE DIFFERENT MEASUREMENTS OF OXYGEN CROSS-SECTIONS

Experiment number	Sample	Temperature (K)	Pressure (hPa)
1	21% O <sub>2</sub> in N <sub>2</sub>	294.4	502.4
2	21% O <sub>2</sub> in N <sub>2</sub>	294.5	1005.6
3	21% O <sub>2</sub> in N <sub>2</sub>	294.6	1518.1
4	21% O <sub>2</sub> in N <sub>2</sub>	294.7	2510.3
5	21% O <sub>2</sub> in N <sub>2</sub>	295.0	4982.0
6	21% O <sub>2</sub> in N <sub>2</sub>	261.8	1517.2
7	21% O <sub>2</sub> in N <sub>2</sub>	261.3	2513.2
8	21% O <sub>2</sub> in N <sub>2</sub>	261.0	3500.9
9	21% O <sub>2</sub> in N <sub>2</sub>	260.7	5005.4
10	21% O <sub>2</sub> in N <sub>2</sub>	233.8	2483.2
11	21% O <sub>2</sub> in N <sub>2</sub>	231.5	3501.5
12	21% O <sub>2</sub> in N <sub>2</sub>	230.5	4979.7
13	21% O <sub>2</sub> in N <sub>2</sub>	229.4	1507.8
14	21% O <sub>2</sub> in Ar	278.7	1007.7
15	21% O <sub>2</sub> in Ar	280.1	1509.9
16	21% O <sub>2</sub> in Ar	281.8	2513.7
17	21% O <sub>2</sub> in Ar	283.6	3507.7
18	21% O <sub>2</sub> in Ar	284.7	5006.3
19	21% O <sub>2</sub> in Ar	285.2	2517.3
20	O <sub>2</sub>	293.1	1011.3
21	O <sub>2</sub>	293.3	1508.5
22	O <sub>2</sub>	293.5	2517.0
23	O <sub>2</sub>	293.7	3518.7
24	O <sub>2</sub>	293.9	4997.7
25	21% O <sub>2</sub> in N <sub>2</sub>	294.3	3508.3
26	O <sub>2</sub>	230.3	1006.7
27	O <sub>2</sub>	228.0	2497.9
28	O <sub>2</sub>	226.5	3490.5
29	O <sub>2</sub>	225.8	4972.1

‘White’ optics mirrors, i.e. 0.400 m. The distance  $d$  is the absorber path length between the optical windows of the SPAC and the front surface of the field mirror, and is measured to be  $0.138 \pm 0.001$  m. For this work the SPAC output focusing mirror was adjusted to produce 15 images ( $n = 15$ ) on the field mirror and a total absorber path length of  $12.938 \pm 0.033$  m.

- Sample handling: clean high-pressure stainless steel vacuum lines.
- Temperature sensors: six 10 k $\Omega$  thermistors in thermal contact with the gas samples.
- Pressure sensors: calibrated 1000 torr and 5 bar full-scale absolute Baratron capacitance gauges (MKS Types 750B and 390).
- 29 experiments were carried out (Table 2).

#### (b) Data conversion

The spectra were originally recorded at an instrument resolution of  $0.05 \text{ cm}^{-1}$ , and have subsequently been transformed to produce higher signal-to-noise spectra at a resolution of  $0.5 \text{ cm}^{-1}$ . For the work described here the  $0.5 \text{ cm}^{-1}$  resolution sample spectra were used and the average of two background spectra at the same resolution (one recorded before and another after each day’s measurements) was used as the background for the day. The following, which closely follows Smith and Newnham (2000), describes the main steps of the conversion of raw spectra into binary cross-sections.

The concentration  $c$  ( $\text{mol m}^{-3}$ ) of  $\text{O}_2$  molecules in the gas sample is given by

$$c = \frac{pf}{RT}, \quad (1)$$

where  $p$  is the total gas pressure (Pa),  $f$  is the  $\text{O}_2$  volume fraction,  $R$  is the gas constant ( $\text{J mol}^{-1}\text{K}^{-1}$ ) and  $T$  is the gas temperature (K). It is assumed that the gas mixture behaves as an ideal gas and that there is little contribution to the pressure from  $\text{O}_2$ – $\text{O}_2$  collision pairs. The optical transmittance  $\tau_c(\tilde{\nu}, T, p)$  at wave number  $\tilde{\nu}$  ( $\text{cm}^{-1}$ ) of sample concentration  $c$  at temperature  $T$  and pressure  $p$  was determined as the ratio of the sample intensity  $I(\tilde{\nu}, T, p)$  to the background spectrum intensity  $I_0(\tilde{\nu}, T, p)$ ,

$$\tau_c(\tilde{\nu}, T, p) = \frac{I(\tilde{\nu}, T, p)}{I_0(\tilde{\nu}, T, p)}. \quad (2)$$

The Napierian absorbance (or optical depth)  $A(\tilde{\nu}, T, p)$  is given by

$$A(\tilde{\nu}, T, p) = -\ln \tau_c(\tilde{\nu}, T, p). \quad (3)$$

A relevant parameter is the number  $N_p$  of molecular pairs involving oxygen molecules in a unit volume of gas ( $\text{molecule}^2\text{cm}^{-6}$ ), which is given by Vigasin (1996):

$$N_p = \frac{N_A c (N_A c - 1)}{2} \approx \frac{(N_A c)^2}{2}, \quad (4)$$

where  $N_A$  is the Avogadro constant.

Also relevant (in fact, the parameter to be used as input in the radiative-transfer calculations) is the binary absorption cross-section ( $\text{cm}^5\text{molecule}^{-2}$ ) defined as

$$\sigma_{\text{bin}}(\tilde{\nu}, T, p) = \frac{A(\tilde{\nu}, T, p)}{2N_p l}, \quad (5)$$

where  $l$  is the optical path length (m) through the absorber gas ( $\text{O}_2$ ) of molecular-pair number density,  $N_p$ . The binary absorption cross-section is preferred over the monomer absorption cross-section, as the latter would be heavily pressure dependent as the number of oxygen pairs varies with pressure via Eqs. (1) and (4).

The procedures used here to convert the raw spectra to binary cross-sections, which includes careful baseline corrections for the spectra, were described in detail by Newnham and Ballard (1998) and Smith and Newnham (2000).

For the  $1.27 \mu\text{m}$  band there is a line-structured absorption due to the magnetic dipole transition superimposed on the continuum due to collision processes. To obtain the continuum cross-sections it is necessary to remove the line contribution. The Reference Forward Model (RFM) of the University of Oxford (Dudhia 1997) was used to generate, for the conditions of each measurement, synthetic binary cross-section spectra for the oxygen lines based on HITRAN 1996 (Rothman *et al.* 1998), which were subsequently subtracted from the measured spectra. The result of that operation was taken to be the continuum due to collisions. For low pressure (1.5 bar or less) the resulting data were discarded because of the large baseline noise. For higher pressure data, it was possible to remove the dominant features associated with the line absorption. Note that this procedure, which is illustrated in Fig. 1, differs from that adopted by Smith and Newnham (2000), where a more subjective method was adopted to separate lines and continua.

For the  $1.06 \mu\text{m}$  band the line-structured absorption is very weak (see Solomon *et al.* 1998) and falls within the random noise of the measurements, and so the measured

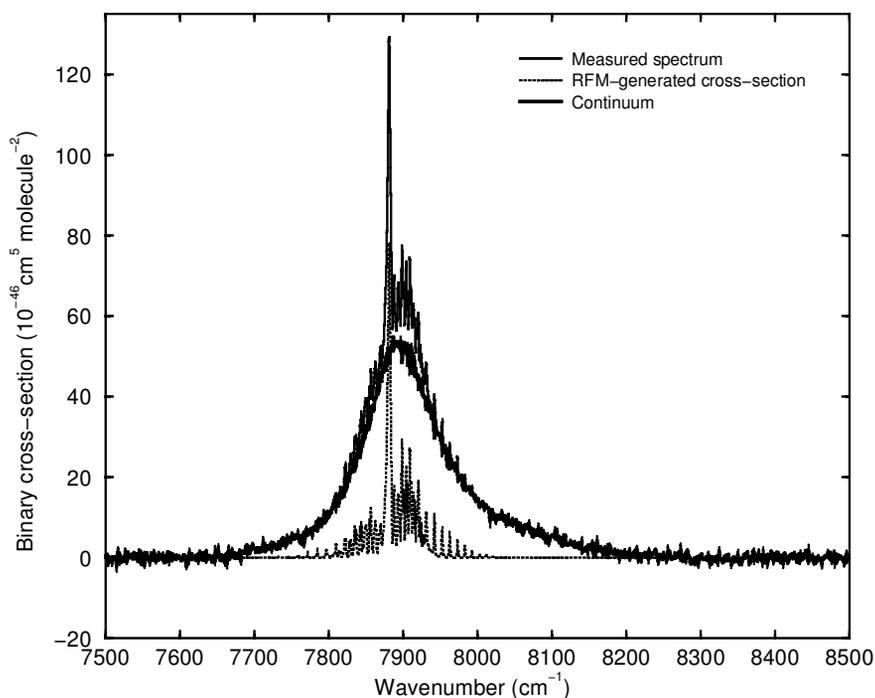


Figure 1. Binary cross-section for the absorption continuum in the 1.27  $\mu\text{m}$  band of oxygen obtained by subtracting RFM-generated line structured absorption from measurements for a 21% mixture of oxygen in nitrogen at 261 K and 5.0 bar (experiment 9 in Table 2).

cross-sections were taken as due to the continuum. However, the main problem with the measured spectra for this band is the low information-to-noise ratio. Figure 2 shows the spectra for measurements with oxygen–nitrogen mixtures at about 260 K and different pressures. Even for the measurement at 5.0 bar the fluctuations are so large that they compromise the usefulness of the data. The spectra obtained with pure oxygen samples exhibit much less noise (Fig. 3) because there is more oxygen in the cell in the case of pure oxygen, compared with the mixtures.

For each measurement, the integrated binary absorption intensity ( $\text{cm}^4 \text{molecule}^{-2}$ ) was calculated separately for each continuum band using

$$S_{\text{bin}}(T, p) = \int_{\tilde{\nu}_1}^{\tilde{\nu}_2} \sigma_{\text{bin}}(\tilde{\nu}, T, p) d\tilde{\nu}, \quad (6)$$

where the limits of integration were chosen to include the whole of the absorbing region and to avoid regions contaminated with a small absorption by water vapour present in the spectra. For the 1.27  $\mu\text{m}$  band the limits were 7500 and 8500  $\text{cm}^{-1}$  and, for the 1.06  $\mu\text{m}$  band, 9000 and 10000  $\text{cm}^{-1}$ .

### (c) Error analysis

The error analysis used for the resulting binary cross-section values is close to that described in detail by Newnham and Ballard (1998) and Smith and Newnham (2000). From Eqs. (5) and (4), the standard deviation ( $\text{cm}^5 \text{molecule}^{-2}$ ) associated with each

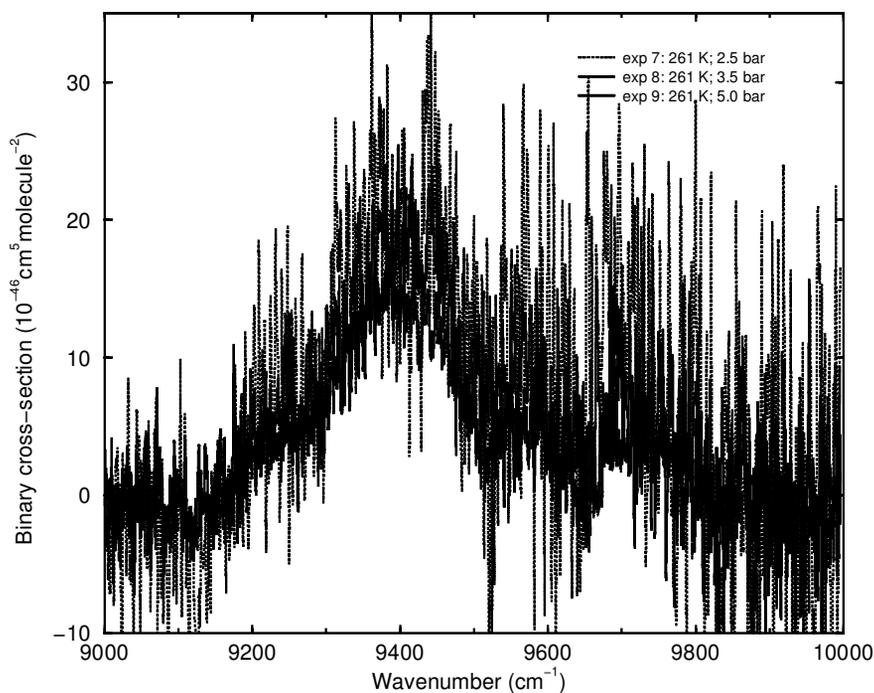


Figure 2. Binary cross-sections for the absorption continuum in the  $1.06 \mu\text{m}$  band of oxygen for a 21% mixture of oxygen in nitrogen at 261 K and 2.5, 3.5 and 5.0 bar (experiments 7, 8 and 9 in Table 2).

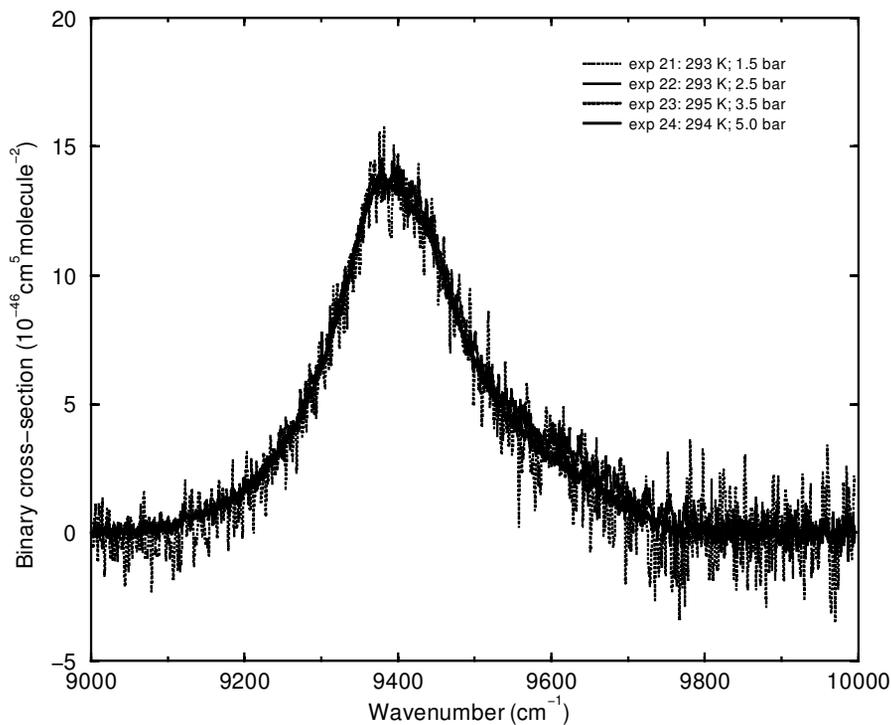


Figure 3. Binary cross-sections for the absorption continuum in the  $1.06 \mu\text{m}$  band of oxygen for pure oxygen samples at about 295 K and 1.5, 2.5, 3.5 and 5.0 bar (experiments 21, 22, 23 and 24 in Table 2).

value of the binary cross-section is given by:

$$s_{\sigma_{\text{bin}}}(\tilde{\nu}, T, p) = \sigma_{\text{bin}}(\tilde{\nu}, T, p) \sqrt{\left[ \frac{\sigma_A(\tilde{\nu}, T, p)}{A(\tilde{\nu}, T, p)} \right]^2 + 2 \left( \frac{\sigma_c}{c} \right)^2 + \left( \frac{\sigma_l}{l} \right)^2}, \quad (7)$$

where  $\tilde{\nu}$  is the wave number ( $\text{cm}^{-1}$ ),  $T$  is the temperature (K),  $A(\tilde{\nu}, T, p)$  is the absorbance,  $\sigma_A(\tilde{\nu}, T, p)$  is the standard deviation associated with the absorbance,  $c$  is the oxygen concentration and  $\sigma_c$  the standard deviation associated with it,  $l$  is the optical path length (m) and  $\sigma_l$  its associated standard deviation.

The optical pathlength  $l$  and its associated standard deviation  $\sigma_l$  for all measurements are respectively 12.938 m and 0.033 m. The standard deviation in absorbance  $\sigma_A(\tilde{\nu}, T, p)$  is taken into account during the process of data reduction and the root-mean-square error associated with the baseline noise in the spectrum was included in its estimate. The standard deviation in oxygen concentration is given by

$$\sigma_c = c \sqrt{\left( \frac{\sigma_p}{p} \right)^2 + \left( \frac{\sigma_f}{f} \right)^2 + \left( \frac{\sigma_T}{T} \right)^2}, \quad (8)$$

where  $\sigma_p$ ,  $\sigma_f$  and  $\sigma_T$  are the standard deviations associated with pressure, oxygen fraction and temperature respectively. Each measurement lasted typically about 30 to 40 min and the temperature (six sensors) and pressure (one or two gauges) were recorded every 5 min. Temperature and pressure values in Table 2 are the average of all readings during each experiment. Temperature and pressure uncertainties used in Eq. (8) were a combination of the standard deviation of the set of readings with the stated accuracy of the sensors. The 5 bar full-scale Baratron (MKS 750B) and the 1000 torr full-scale absolute Baratron (MKS 390) capacitance gauges have stated accuracies of 1% and 0.08%, respectively. The manufacturer's uncertainties of the thermistors are 0.2 K for the experiments at temperatures above 230 K and 0.45 K at 230 K.

The supplier-stated gas purity was  $99.99 \pm 0.01\%$  for pure oxygen and  $21.0 \pm 0.5\%$  oxygen and  $79.0 \pm 0.5\%$  nitrogen for the oxygen–nitrogen mixtures. The uncertainty associated with the gas fraction for the oxygen–argon mixtures depends on the purity of the gases used for the mixture, on uncertainties in the measured pressure and temperature after filling the cell with the first component, on the measured temperature during measurements and on how well mixed the gases are. A worst-case uncertainty in oxygen/argon mixing ratio of 5% was used here.

The uncertainty attached to the integrated binary absorption intensity (Eq. (6)) was calculated as

$$\sigma_{S_{\text{bin}}} = \int_{\tilde{\nu}_1}^{\tilde{\nu}_2} s_{\sigma_{\text{bin}}}(\tilde{\nu}, T, p) d\tilde{\nu}. \quad (9)$$

#### (d) Temperature and pressure dependency of integrated intensity

Previous studies mentioned in section 1 needed a value of the effectivity of nitrogen as a collision partner if compared with oxygen, because they estimated  $\text{O}_2\text{--N}_2$  absorption from measured  $\text{O}_2\text{--O}_2$  cross-sections available at that time. But here cross-sections for mixtures of 21% oxygen in nitrogen (which include  $\text{O}_2\text{--O}_2$  and  $\text{O}_2\text{--N}_2$  absorption) were available and could be taken directly as representative of atmospheric air without the need for estimating such an efficiency factor. So, cross-sections from experiments 1 to 13 and 25 (Table 2) were initially chosen to form the basis to the input for radiative-transfer calculations that would include some temperature dependence of cross-sections.

TABLE 3. INTEGRATED BINARY ABSORPTION INTENSITY  $S_{\text{bin}}$  AND ITS STANDARD DEVIATION  $\sigma_{S_{\text{bin}}}$  ( $10^{-43}$   $\text{cm}^4\text{molecule}^{-2}$ ) FOR THE 1.27  $\mu\text{m}$  AND 1.06  $\mu\text{m}$  CONTINUA MEASURED FOR MIXTURES OF OXYGEN (21% BY VOLUME) WITH NITROGEN

Experiment	$T$ (K)	$p$ (bar)	$S_{\text{bin}}$	$\sigma_{S_{\text{bin}}}$	$S_{\text{bin}}$	$\sigma_{S_{\text{bin}}}$	
			1.27 $\mu\text{m}$ continuum		1.06 $\mu\text{m}$ continuum		
1	295	0.5	47.69	94.84	25.83	281.67	
2	295	1.0	12.00	24.19	5.15	64.99	
3	295	1.5	6.48	10.90	5.66	28.84	
4	295	2.5	7.15	4.01	3.95	11.25	✓
25	295	3.5	9.35	2.34	5.39	5.73	✓
5	295	5.0	8.75	1.25	1.43	2.79	✓
6	260	1.5	5.73	9.66	16.78	27.39	
7	260	2.5	6.74	3.27	6.51	10.08	✓
8	260	3.5	7.79	1.78	5.48	5.35	✓
9	260	5.0	8.04	1.00	3.68	2.51	✓
13	230	1.5	27.76	8.01	2.42	26.82	
10	230	2.5	9.69	3.22	8.97	10.54	✓
11	230	3.5	11.51	1.67	3.38	4.71	✓
12	230	5.0	10.66	1.00	2.61	2.54	✓

Experiment numbers are as described in Table 2. Temperature ( $T$ ) and pressure ( $p$ ) are approximate values of values from Table 2. A ✓ shows which data were eventually used in the atmospheric radiative-transfer calculations.

However, inspection of curves of cross-sections against wave number for those measurements revealed that some of them were too noisy to be useful for that purpose. This was quantitatively confirmed by inspecting the integrated binary absorption intensities and especially their uncertainties as shown in Table 3. The much larger uncertainty present in the 1.06  $\mu\text{m}$  figures are mainly due to larger uncertainties in the baseline caused by the lower detector response compared with that in the 1.27  $\mu\text{m}$  region.

Some measurements were then discarded, mainly because of massive uncertainty and nine were kept (those marked with ✓ in the table). They are experiments at temperatures near 230, 260, and 295 K, and at 2.5, 3.5, and 5.0 bar pressure for each of those temperatures. The integrated absorption intensities for those experiments are displayed in Fig. 4 for the 1.27  $\mu\text{m}$  band. The first column shows the integrated absorption intensity as a function of pressure for the different temperatures. Assuming that the continuum features are due to collision-pair absorption, the integrated binary intensities are expected to be independent of pressure (and the evidence in the figures support this) if the uncertainties are taken into account.

The temperature dependence of the integrated absorption intensity is a matter of controversy (Greenblatt *et al.* 1990; Newnham and Ballard 1998; Osterkamp *et al.* 1998; Aquilanti *et al.* 1999a,b; Pfeilsticker *et al.* 2001). Again, due to the uncertainty of the data presented here, it is still not possible to define the behaviour of the integrated intensity with temperature. So, it seems sensible to take the average of the nine measurements as the temperature- and pressure-independent value to be used in the radiative-transfer calculations. For the 1.27  $\mu\text{m}$  band the averaged integrated binary intensity is  $(8.85 \pm 2.17) \times 10^{-43}$   $\text{cm}^4\text{molecule}^{-2}$ . A similar analysis for the 1.06  $\mu\text{m}$  band again showed no obvious pressure and temperature dependence, but the derived integrated binary intensity of  $(4.60 \pm 6.17) \times 10^{-43}$   $\text{cm}^4\text{molecule}^{-2}$  was too uncertain to be used. A more reliable estimate is derived using the pure-oxygen observations reported in the next subsection (see also Fig. 3). Details on how the averaged cross-sections were used in the radiative-transfer model are presented later in this paper (section 3).

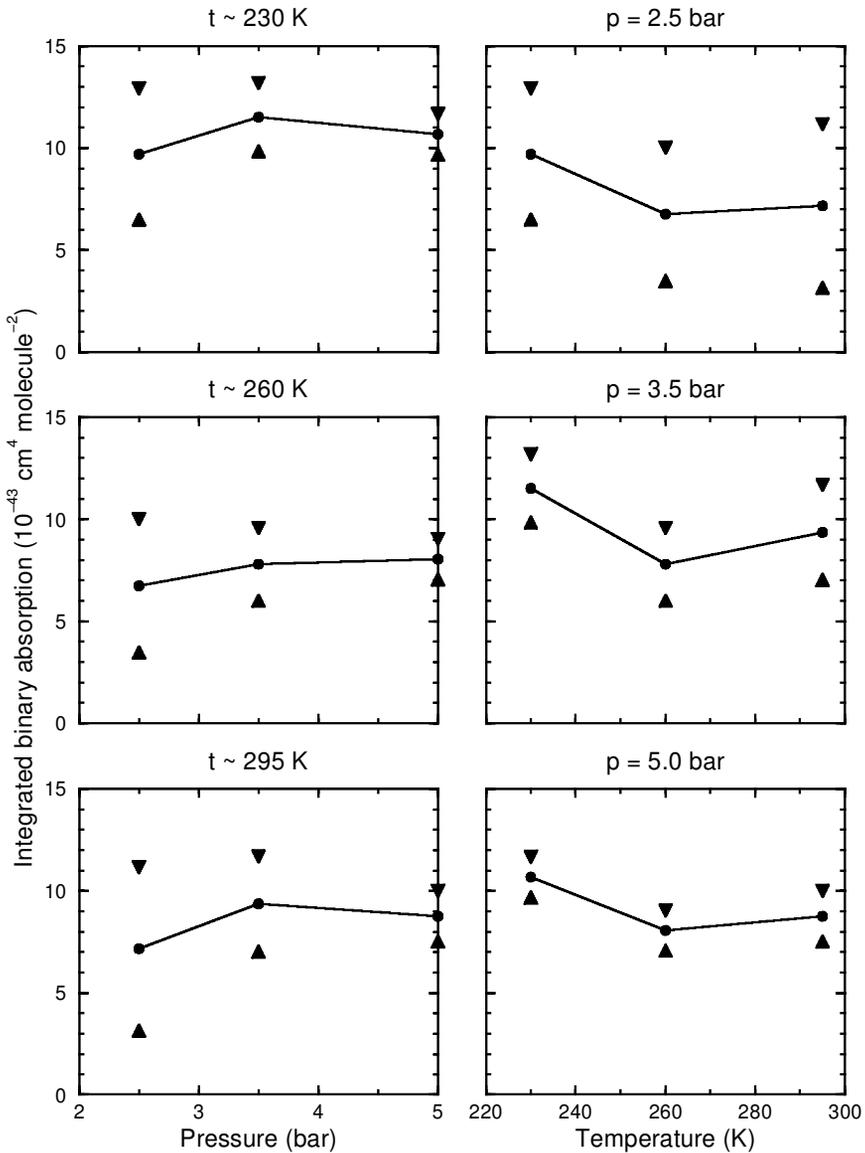


Figure 4. Integrated absorption intensity for the  $1.27 \mu\text{m}$  band. The left column displays the variation with pressure while keeping the temperature constant. The right column displays the variation with temperature while keeping the pressure constant. The triangles mark one standard deviation above and below the resulting integrated absorption calculated from Eq. (6).

#### (e) Comparisons with previous studies

Results from experiments with oxygen–nitrogen mixtures were chosen for the main analysis because the focus of the work was to estimate the climatological absorption due to oxygen collision pairs. However, the availability of different samples (oxygen–nitrogen, oxygen–argon, pure oxygen) at different pressures allows subsidiary observations on some related problems mentioned in the literature.

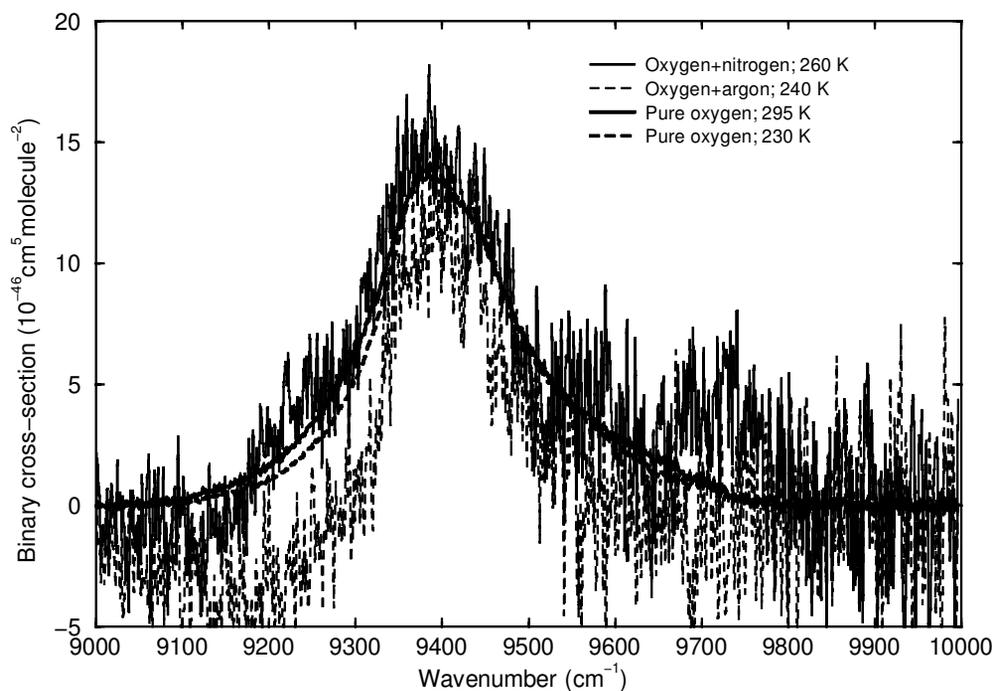


Figure 5. Binary cross-sections of the oxygen 1.06  $\mu\text{m}$  collision-induced band for laboratory measurements at 5.0 bar and different temperatures. All mixtures are 21% oxygen in volume.

(i) *1.06  $\mu\text{m}$  collision-induced band.* For the 1.06  $\mu\text{m}$  band there is apparently no effect of nitrogen or argon on the cross-sections, a fact observed and considered inexplicable by Ketelaar (1955). This can be seen in Fig. 5 where no obvious difference in the continuum can be detected between the different samples within the measurement uncertainties. Also evident in the figure is the large noise in the spectra for oxygen mixtures, as distinct from the case of pure oxygen.

Assuming that the cross-sections for that band are not changed by the presence of a different gas, as indicated by Fig. 5, the results for pure oxygen are more reliable. Averaging the results of nine observations for pure oxygen (numbers 20 to 29, except 25, in Table 2) results in  $(3.14 \pm 0.97) \times 10^{-43} \text{ cm}^4 \text{ molecule}^{-2}$  for the integrated binary intensity for the band. The uncertainty is still large, but now it is possible to make better comparisons with results from other studies. This integrated intensity is used in the calculations in section 3.

Values for the peak binary absorption cross-section and for the integrated binary intensity from previous studies and for this work are shown in Table 4. Also included in Table 4 (when available) are the full width at half maximum (FWHM) inferred from the different studies and temperatures at which the binary cross-sections were measured. The peak absorption of Greenblatt *et al.* (1990) is reported in their paper, but the integrated binary intensity was obtained here from the absorption spectrum of pure oxygen at 55 atm and 296 K provided by James Burkholder (personal communication), which was converted to binary cross-section and then integrated. Values of Mlawer *et al.* (1998) were taken directly from their paper, except for the error in the integrated binary intensity which was estimated from the reported error in the band intensity. The figures attributed to Smith and Newnham (2000) are an average from reported values for mixtures of oxygen and nitrogen at different proportions and temperatures.

TABLE 4. THE PEAK BINARY ABSORPTION CROSS-SECTIONS ( $10^{-46}$   $\text{cm}^5\text{molecule}^{-2}$ ) AND INTEGRATED BINARY INTENSITIES ( $10^{-43}$   $\text{cm}^4\text{molecule}^{-2}$ ) FOR THE  $1.06 \mu\text{m}$  OXYGEN COLLISION-INDUCED ABSORPTION BAND ACCORDING TO DIFFERENT STUDIES

	PBACS	IBI	FWHM ( $\text{cm}^{-1}$ )	$T$ (K)
Greenblatt <i>et al.</i> (1990)	$12 \pm 1$	3.5	240	296
Mlawer <i>et al.</i> (1998)	13.8	$2.4 \pm 0.5$	135	
Smith and Newnham (2000)	$14.61 \pm 0.46$	$2.61 \pm 0.17$		260 to 295
This paper	$14 \pm 1$	$3.14 \pm 0.97$	160	230 to 295

PBACS: Peak binary absorption cross-section;

IBI: Integrated binary intensity;

FWHM: Full width at half maximum.

The integrated binary intensity for Greenblatt *et al.* (1990) is calculated here from the spectrum provided by J. Burkholder (personal communication). The peak absorption and integrated binary intensity for Smith and Newnham (2000) are the mean values for different oxygen–nitrogen mixtures at different temperatures. Values in this paper are an average of different pure oxygen measurements.

The values obtained here for the new measurements represent an average made only for the experiments with pure oxygen in order to avoid the huge uncertainties attached to the measurements with mixtures. It is possible to see that the results obtained with those new measurements show an overall agreement with previous studies but the large errors associated with them prevent any conclusive comment. The main reason for the low value of the integrated binary intensity in the paper by Mlawer *et al.* (1998) is their choice of a low value for the FWHM (around  $135 \text{ cm}^{-1}$ ) because of the difficulty of separating out the background in their measurements. Our new measurements point to a considerably larger value of about  $160 \text{ cm}^{-1}$  for the FWHM. Values from Greenblatt *et al.* (1990) are even bigger ( $240 \text{ cm}^{-1}$ ).

(ii) *1.27  $\mu\text{m}$  collision-induced band.* For this band the collision of oxygen with other gases also contributes to the collision-induced absorption. This is clearly seen in Fig. 6, which shows the  $1.27 \mu\text{m}$  band binary cross-sections for different experiments at 5.0 bar pressure (experiments 12, 18, 24 and 29 in Table 2). The integrated binary intensities for the oxygen–nitrogen mixture experiments (5, 9 and 12), already shown in Table 3, are respectively  $(8.75 \pm 1.25)$ ,  $(8.04 \pm 1.00)$  and  $(10.66 \pm 1.00) \times 10^{-43} \text{ cm}^4\text{molecule}^{-2}$ . For the oxygen–argon mixture and pure oxygen experiments (18, 24 and 29) they are, respectively,  $(11.40 \pm 2.63)$ ,  $(4.57 \pm 0.13)$  and  $(4.66 \pm 0.10) \times 10^{-43} \text{ cm}^4\text{molecule}^{-2}$ . Using the mean integrated intensity values of pure oxygen and oxygen–nitrogen spectra for these 5.0 bar cases resulted in an efficiency factor of 0.26 for nitrogen as a collision partner if compared with oxygen itself (see the appendix). This is in reasonable agreement with the value of 0.3 reported by Ketelaar (1955) and Badger *et al.* (1965), and somewhat higher than the value of 0.2 adopted by Zender (1999). It can also be observed that the efficiency of argon molecules as a collision partner is about the same as that of nitrogen, considering the uncertainties in the present data.

Averaging the integrated binary intensities (and standard deviations) for the nine selected oxygen–nitrogen mixture cases in Table 3 results in a mean binary intensity of  $(8.85 \pm 2.17) \times 10^{-43} \text{ cm}^4\text{molecule}^{-2}$  for the  $1.27 \mu\text{m}$  band. This mean value was used in the atmospheric calculations described below.

Averaging the values of Maté *et al.* (1999) for oxygen–nitrogen mixtures at 296, 273, and 253 K results in an integrated intensity of  $(8.47 \pm 0.13) \times 10^{-43} \text{ cm}^4\text{molecule}^{-2}$ . In order to obtain a value for comparison with those of Smith and

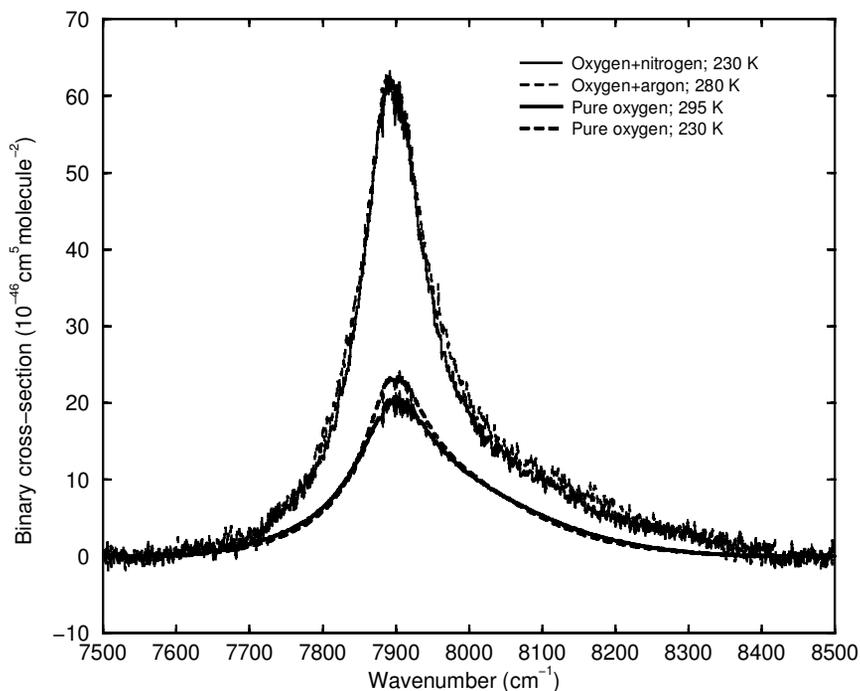


Figure 6. The binary cross-sections of the oxygen 1.27  $\mu\text{m}$  collision-induced band for laboratory measurements at 5.0 bar and different temperatures. All mixtures are 21% oxygen in volume.

Newnham (2000), results for 21% oxygen in nitrogen at 200 and 230 K were selected. The monomer integrated intensities were converted to binary units and then added to the collision-induced integrated binary intensities. The resulting mean value was  $(12.71 \pm 2.23) \times 10^{-43} \text{ cm}^4 \text{ molecule}^{-2}$ . Comparisons between those results and ours are complicated by two factors: first, Maté *et al.*'s (1999) results are for temperatures much higher than Smith and Newnham's (2000) and the temperature dependency of the cross-sections is not established; second, the uncertainties are large. Considering that our results represent an average over a wide range of temperatures, it can be said that our value compares well with Maté *et al.* (1999) but are about two thirds of Smith and Newnham's (2000) value. Possibly the subjective method used by Smith and Newnham (2000) to remove the line-structured absorption (in contrast with the use of RFM/HITRAN96 derived values here) could lead to an overestimate in their integrated intensity. However, a reduction in our result's uncertainties is needed for better assessment and comparison. Moreover, those comparisons need to be taken with caution due to the different temperatures of the different studies.

### 3. CLEAR-SKY ATMOSPHERIC ABSORPTION

#### (a) Radiative-transfer code and mean binary cross-sections

A modified version of the delta-Eddington short-wave radiative-transfer code of Slingo and Schrecker (1982) (which includes the 220-band structure present in one of Edwards and Slingo's (1996) versions, and its solar, Rayleigh, water-vapour and ozone coefficients) was used for the atmospheric calculations. The modifications allowed the inclusion of the short-wave absorption by water vapour and ozone for clear-sky

TABLE 5. THE BAND STRUCTURE OF THE 220-BAND VERSION OF THE MODIFIED SLINGO AND SCHRECKER (1982) CODE OVER THE REGIONS OF THE TWO OXYGEN COLLISIONAL ABSORPTION CONTINUA

Model number	Limits (cm <sup>-1</sup> )	Band number	Model number	Limits (cm <sup>-1</sup> )	Band number
1.27 μm continuum			1.06 μm continuum		
155	7500–7600	1	145	9000–9200	1
154	7600–7700	2	144	9200–9400	2
153	7700–7800	3	143	9400–9600	3
152	7800–7900	4	142	9600–9800	4
151	7900–8000	5	141	9800–10000	5
150	8000–8200	6			
149	8200–8400	7			
148	8400–9600	8			

'Model number' refers to the band numbering inside the model and 'band number' is an auxiliary numbering used in this paper for each continuum region.

atmospheric profiles. The oxygen collisional bands were included as grey absorption by averaging the cross-sections over the model bands (Table 5). Note that no assumption about the oxygen–nitrogen efficiency for the 1.27 μm band need to be made here, as our measurements are made at the same O<sub>2</sub>:N<sub>2</sub> ratio as for atmospheric air. In addition, unlike earlier work, the concentration of O<sub>2</sub>–O<sub>2</sub> is not required in these calculations, as it is implicitly included in the binary cross-sections.

The binary cross-section spectra from each of the nine experiments marked in Table 3 were averaged over the model bands described in Table 5, and then the average amongst the nine resulting figures was performed for each model band.

### (b) *Effects on a typical atmosphere*

A survey control run with the radiative-transfer code was carried out for the McClatchey *et al.* (1972) mid-latitude summer atmospheric profile, solar zenith angle of 60°, surface albedo of 0.1 and total incoming solar irradiance of 1368 W m<sup>-2</sup>. Three other runs were performed with the collision-induced absorption by oxygen, two including separately the impact of each continuum and one including both. Figure 7 compares the resulting solar heating-rate profiles when no collision-induced absorption is included and when both continua are included. The heating rates in the lower troposphere are increased by about 2%.

When only ozone and water vapour were considered the total short-wave absorbed by the atmosphere was 133.55 W m<sup>-2</sup>. When the 1.27 μm continuum only was included the total absorption was 134.35 W m<sup>-2</sup> (an increase of 0.80 W m<sup>-2</sup> or 0.60% of the total absorbed). When the 1.06 μm continuum only was included, the total absorption was 133.85 W m<sup>-2</sup> (an increase of 0.30 W m<sup>-2</sup> or 0.22% of the total absorbed). Including both bands resulted in 134.65 W m<sup>-2</sup> absorbed (1.10 W m<sup>-2</sup>, or 0.82% increase).

### (c) *Impact on clear-sky climatological absorbed irradiance*

Monthly zonal means of observed climatological atmospheric profiles of temperature, humidity and ozone averaged over 10° wide latitude belts (see Christidis *et al.* 1997) were used as input to the radiative-transfer code in three different situations:

- (1) no collision-induced absorption, the control situation;
- (2) 1.27 μm band only; and
- (3) both bands included.

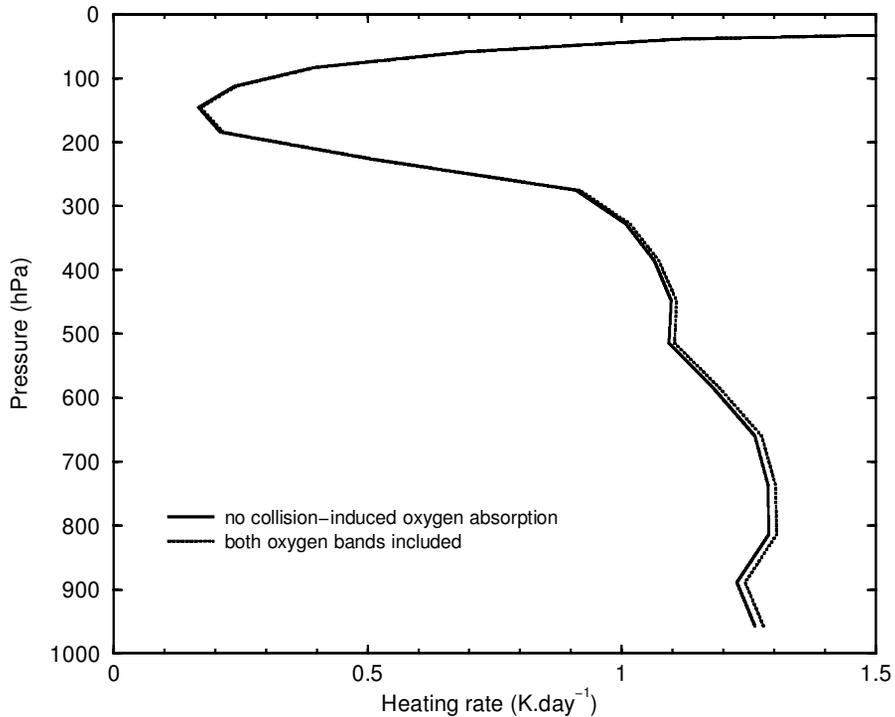


Figure 7. The atmospheric heating rate due to short-wave absorption by ozone and water vapour for a clear-sky mid-latitude summer atmosphere, solar zenith angle  $60^\circ$ , surface albedo 0.1 and total solar irradiance  $1368 \text{ W m}^{-2}$ . The near-infrared collision-induced absorption by oxygen is included in one case.

The spatial and temporal variation of the differences (in  $\text{W m}^{-2}$ ) between (2) and (1) and between (3) and (1) (the impacts of  $1.27 \mu\text{m}$  continuum alone and of both continua together on the atmospheric absorption of solar irradiance) are displayed in Fig. 8. The area-averaged (global-mean) figures for each situation and differences from the control for the mid-season months are in Table 6. Also included in same table is the average of the area-averaged values for the four months (taken as the annual mean). Note that most of the earlier studies of the impact of the collision-induced absorption used rather crude techniques to derive a global mean from a single profile. The exception is Zender (1999) who included the absorption within a GCM radiation scheme and used the GCM to produce a better seasonal and global average. A disadvantage with his technique is that the spectral resolution of the GCM radiation code is comparatively wide (it represents the near-infrared absorption by water vapour in seven  $k$ -distribution bins). This prevented a separation of the impact of the  $1.06 \mu\text{m}$  and  $1.27 \mu\text{m}$  bands.

As the column amount of oxygen is almost constant with latitude (having some small variation influenced by orography), the main modulator of the collision-induced absorption is the solar irradiance available in the atmospheric column. Because of that, the maxima of extra absorption are near the south pole in October and January and near the north pole in April and July. At these times and locations, the collision-induced absorption contributes 3 to 4% of the total due to water vapour and ozone. This latitudinal variation is in contrast to that due to variations in water-vapour spectral-line parameters, which fall off heavily with latitudes (Chagas *et al.* 2001).

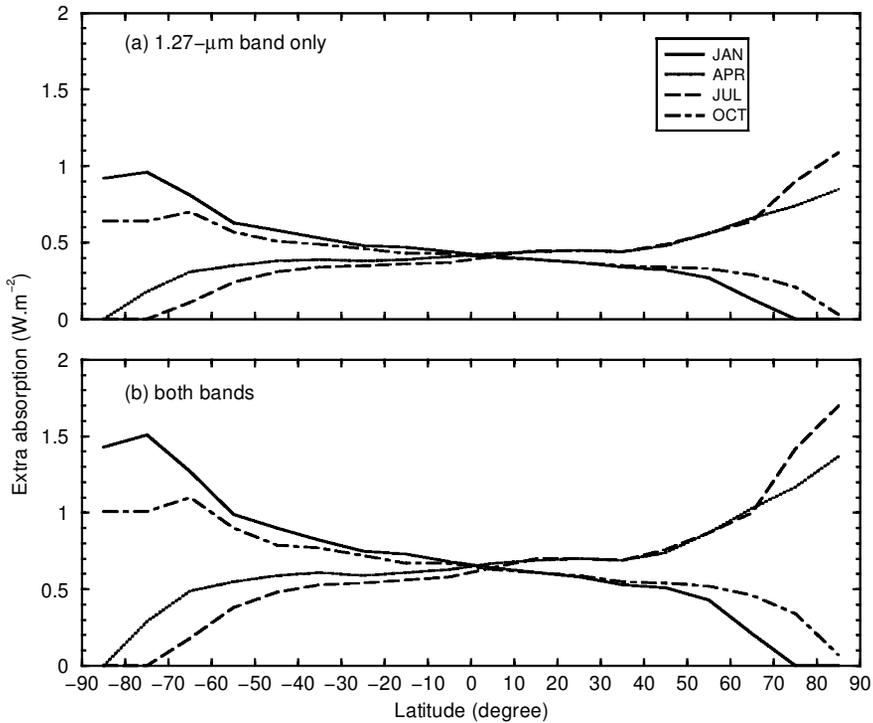


Figure 8. The impact on the clear-sky solar irradiance absorbed by atmospheric ozone and water vapour for mid-season monthly climatological conditions averaged over  $10^\circ$  latitude belts when (a) the  $1.27 \mu\text{m}$  collision-induced absorption band of oxygen is included, and (b) the  $1.27 \mu\text{m}$  and  $1.06 \mu\text{m}$  bands are included.

TABLE 6. MID-SEASON AND ANNUAL GLOBAL AVERAGES OF ABSORBED SOLAR RADIATION IN CLEAR SKIES ( $\text{W m}^{-2}$ )

	January	April	July	October	Average
(1) Control	63.20	62.13	61.74	62.27	62.09
(2) $1.27 \mu\text{m}$ only	63.64	62.56	62.14	62.68	62.51
(3) Both bands	63.81	62.70	62.30	62.86	62.67
(2) – (1)	0.44	0.43	0.40	0.41	0.42
(3) – (1)	0.61	0.57	0.56	0.59	0.58

(1) Water vapour and ozone only; (2)  $1.27 \mu\text{m}$  collision-induced band added; (3)  $1.27 \mu\text{m}$  and  $1.06 \mu\text{m}$  bands added. The last two rows are differences representing the absorption due to the collision-induced bands only.

All the calculations in this study, which resulted in a global- and annual-mean absorption of  $0.58 \text{ W m}^{-2}$  for the two collision-induced oxygen bands, were made for clear skies. The consideration of a cloud-forcing ratio of 1.1 to 1.2 (see Table 1) could possibly increase this value to  $0.64$  to  $0.70 \text{ W m}^{-2}$  for the all-sky situation.

#### 4. CONCLUSIONS

The earlier estimates of atmospheric absorption by the  $1.06 \mu\text{m}$  and  $1.27 \mu\text{m}$  collision-induced oxygen continua were summarized in Table 1. The differences amongst the various estimates are mainly due to the lack of good-quality high-resolution

cross-sections for the collisional bands, especially for the relatively strongly absorbing 1.27  $\mu\text{m}$  band. For the 1.06  $\mu\text{m}$  band, most of these studies used the cross-sections of Greenblatt *et al.* (1990). For the 1.27  $\mu\text{m}$  band some crude estimates were in general used. Maté *et al.* (1999) presented cross-sections for the 1.27  $\mu\text{m}$  band from measurements with pure oxygen and oxygen–nitrogen mixtures at different conditions. Smith and Newnham (1999, 2000) also reported high-resolution cross-sections for oxygen–nitrogen mixtures at different conditions. To our knowledge, those recent measurements were not used to estimate the global impact on atmospheric absorption.

Here we describe new measurements of the 1.06  $\mu\text{m}$  and 1.27  $\mu\text{m}$  oxygen collision-induced absorption bands and their use to estimate the global impact of oxygen collision-induced absorption on clear-sky atmospheric absorption. Cross-sections derived from measurements on ‘air’ (21% oxygen in nitrogen) samples were used when the noise was not too large. No pressure or temperature dependence of the integrated binary intensities could be detected.

Cross-sections from nine experiments were averaged over the appropriate bands of a 220-band radiative-transfer model modified from Slingo and Schrecker’s (1982) code to calculate clear-sky atmospheric absorption from water vapour and ozone using parameters from Edwards and Slingo (1996). The data spread for the 1.27  $\mu\text{m}$  band was smaller than for the 1.06  $\mu\text{m}$  one. Calculations for a mid-latitude summer atmosphere resulted in 0.82% extra absorption for the two oxygen collision bands (0.60% due to the 1.27  $\mu\text{m}$  band and 0.22% due to the 1.06  $\mu\text{m}$  band).

The latitudinal and seasonal variation of the impact of the collision-induced bands was estimated using zonal-mean profiles at 10° latitudinal resolution. Being mostly modulated by the solar radiation available to the atmosphere (the oxygen column amount has a very small spatial variation), globally averaged impacts are larger in January (smaller Earth–Sun distance) and smaller in July. The maxima of absorption are near the south pole in October and January and near the north pole in April and July. Global and annual means were 0.58  $\text{W m}^{-2}$  for the two bands considered. Adding 0.42  $\text{W m}^{-2}$  for the visible band (based on Greenblatt *et al.* 1990) and 0.03  $\text{W m}^{-2}$  for the 1.58  $\mu\text{m}$  band (Mlawer *et al.* 1998) leads to an estimate of 1.03  $\text{W m}^{-2}$  global annually averaged impact. This is a significant (1.7%) increase on top of the 62.1  $\text{W m}^{-2}$  mean absorption by water vapour and ozone, and even more significant in relation to the figure of 10 to 20  $\text{W m}^{-2}$  of the ‘unexplained’ atmospheric short-wave absorption cited by some authors. The dominant uncertainty is in the 1.27  $\mu\text{m}$  integrated cross-sections (roughly 25%); our estimates of the increase in absorption are accordingly uncertain by 25%.

Apart from the confirmation of other studies’ conclusions that the collision-induced absorption by oxygen is not negligible in the atmosphere, an important conclusion of this study is that high-resolution measurements using long path lengths under controlled conditions in the laboratory are still needed to complement the high-pressure shorter path-length observations reported here. In particular, future measurements should better characterize the temperature dependence of the 1.06  $\mu\text{m}$  collision-induced absorption region.

#### ACKNOWLEDGEMENTS

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## APPENDIX

### *Nitrogen efficiency*

In order to estimate the efficiency  $\epsilon$  of nitrogen (or other gaseous species) as a collision partner if compared with oxygen itself, we start with an idealized situation where the integrated binary cross-section  $S_1$  (see Eq. (6)) for a sample of  $N$  molecules of pure oxygen is evaluated:

$$S_1 = S_{O_2}, \quad (\text{A.1})$$

where  $S_{O_2}$  is the binary cross-section due to oxygen–oxygen collisions.

Another situation is then achieved by adding  $3.76N$  molecules of nitrogen to the original pure-oxygen sample. This particular value (3.76) was used here in order to obtain a resulting sample with 21% oxygen ( $N/4.76N$ ) in 79% nitrogen ( $3.76N/4.76N$ ), but could have been easily generalized. The resulting integrated binary cross-section for situation 2 would be:

$$S_2 = S_{O_2} + S_{N_2}, \quad (\text{A.2})$$

where  $S_{N_2}$  is the binary cross-section due to nitrogen–oxygen collisions. Eq. (A.2) can be rewritten as:

$$S_2 = S_{O_2} + \epsilon \times 3.76S_{O_2}. \quad (\text{A.3})$$

If nitrogen is totally ‘inefficient’ ( $\epsilon = 0$ ) as a collision partner (as in the case of the  $1.06 \mu\text{m}$  collision-induced band),  $S_{N_2}$  would be zero and  $S_2 = S_{O_2} = S_1$ . If nitrogen is as efficient as oxygen ( $\epsilon = 1$ ) in producing collision-induced absorption,  $S_{N_2}$  would be 3.76 times bigger than  $S_{O_2}$  because the number of nitrogen molecules in the sample is 3.76 times the number of oxygen molecules. In this case,  $S_2 = S_{O_2} + 3.76S_{O_2}$ , or  $S_2 - S_1 = 3.76S_1$ .

The unknown efficiency factor  $\epsilon$  can be calculated using:

$$S_2 - S_1 = \epsilon \times 3.76S_1, \text{ or} \quad (\text{A.4})$$

$$\epsilon = \frac{S_2 - S_1}{3.76S_1}. \quad (\text{A.5})$$

In the text (subsection 2(e)(ii)), the mean integrated binary cross-section at 5.0 bar pressure is  $4.62 \times 10^{-43} \text{ cm}^4 \text{ molecule}^{-2}$  for the pure oxygen samples ( $S_1$ ) and  $9.15 \times 10^{-43} \text{ cm}^4 \text{ molecule}^{-2}$  for the 21% oxygen in nitrogen mixtures ( $S_2$ ). Using these values in Eq. (A.5) results in  $\epsilon = 0.26$ .

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