



Stochastic parameterization: Uncertainties from Convection

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ECMWF Workshop

Representing model uncertainty and error in numerical weather and climate
prediction models

22nd June 2011

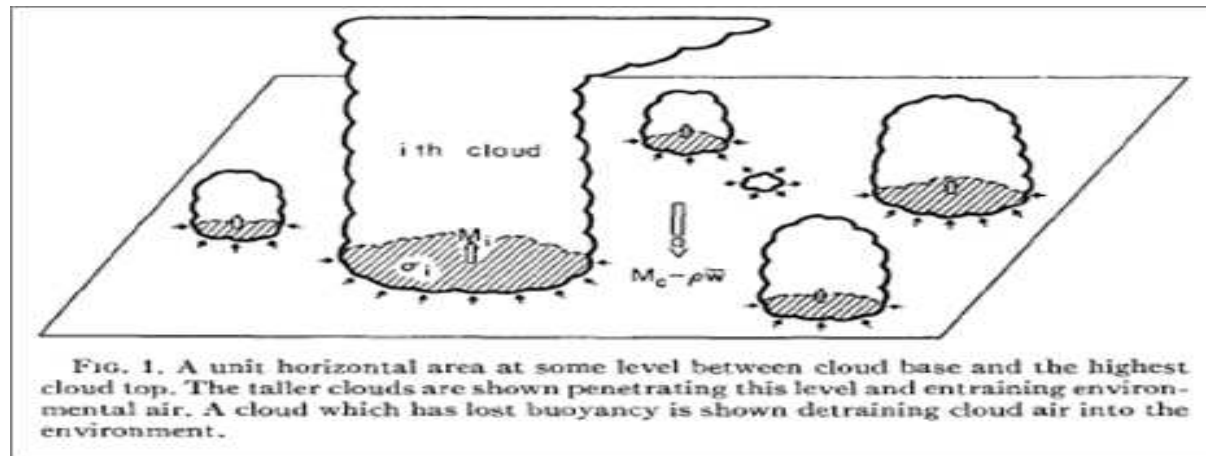


Typical convective parameterization



Traditional framework

The Arakawa and Schubert (1974) picture



- Convection characterised by ensemble of non-interacting convective plumes within some area of tolerably uniform forcing
- Individual plume equations formulated in terms of mass flux, $M_i = \rho \sigma_i w_i$

Traditional framework



- An equilibrium picture: stabilization from the ensemble of plumes balances destabilization from large-scale forcing
- If plume equations are linear in mass flux then can sum over plumes and approximate ensemble with a representative “bulk” plume
- Microphysics is supposed to be crude by construction
 - and even cruder under a bulk approximation



Uncertainties from convection



1. structural: using the wrong equations
2. parameter: entrainment rate is the source of largest uncertainty in multi-parameter experiments like `climateprediction.net`
 - an entrainment rate is itself a parameterization of cloud-environment interactions within the convective parameterization and has major structural uncertainties
3. an inherently uncertain process: a given “large-scale” state is consistent with many sub-grid states



The physics of fluctuations



Utterly trivial example



- Practical approach: seems desirable to introduce noise to improve spread-error relationship
- But the introduction of a stochastic component to our model equations **cannot** be agnostic about the physics of the fluctuations
- For example,

$$\frac{\partial \theta}{\partial t} + \underline{u} \cdot \underline{\nabla} \theta = P_{\theta}(X, \alpha) + \varepsilon$$

P_{θ} is deterministic parameterization; α are parameters; X is the resolved-scale state; ε is noise



Change of variables



- Consider a change of variables to $\chi = e^\theta$

$$\frac{\partial \theta}{\partial t} + \underline{u} \cdot \underline{\nabla} \theta = P_\theta(\theta, \alpha) + \varepsilon$$

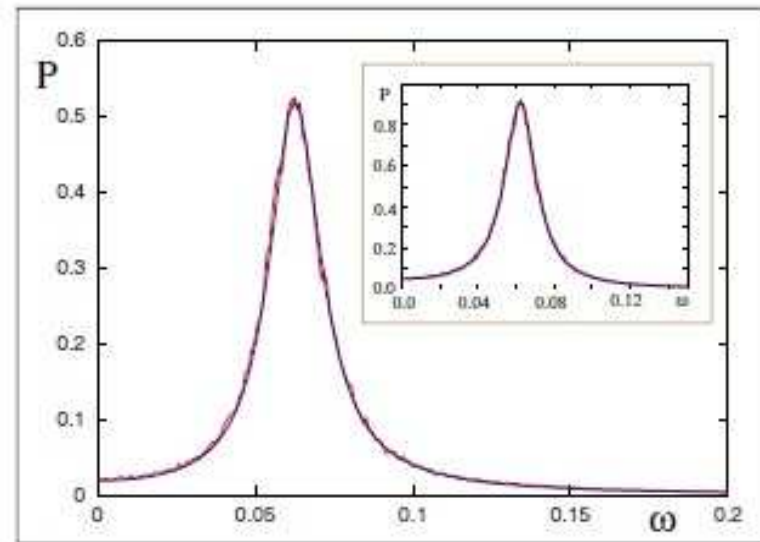
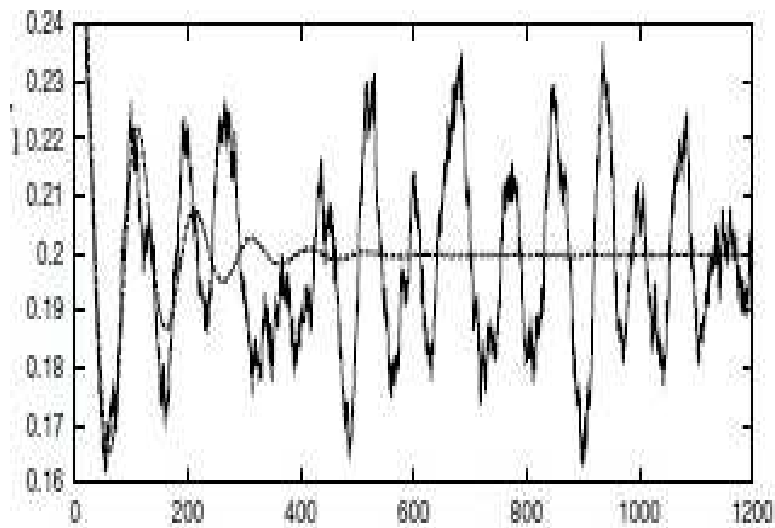
$$\frac{\partial \chi}{\partial t} + \underline{u} \cdot \underline{\nabla} \chi = P_\chi(\chi, \alpha) + \varepsilon \chi$$

- Additive noise becomes multiplicative noise
- These names are meaningless in themselves:
 - have to ask additive or multiplicative in what?
 - and with what physical justification?



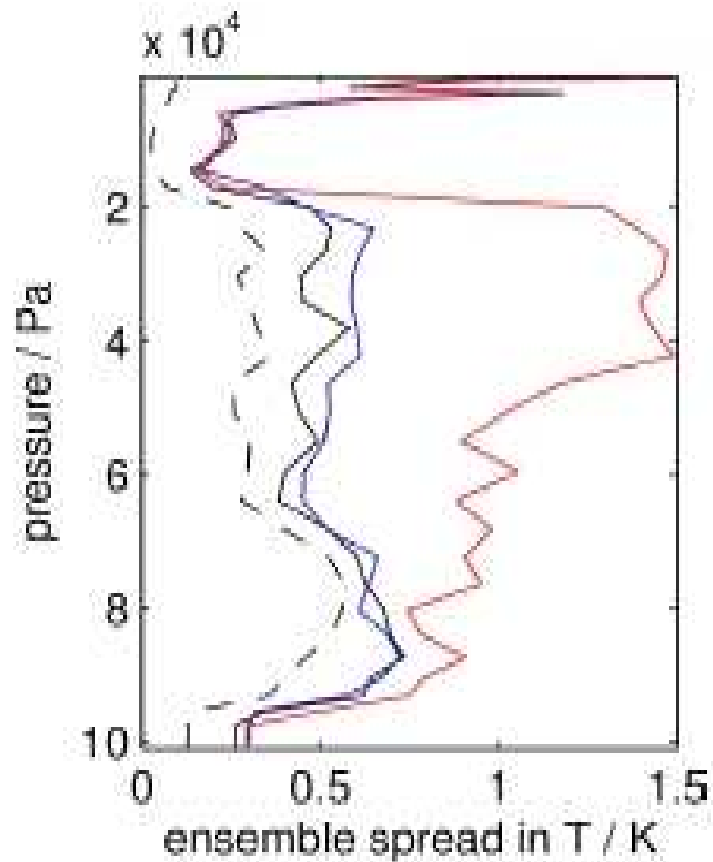
Example I: amplified stochastic cycles

Predator-prey system with ~ 1000 individuals (McKane and Newman 2005)




- Accounting for discrete constituents leads to sustained oscillations with amplified internal variability
- Dramatic qualitative difference in response to internal and environmental/parameter noise

Example II: SCM mult. noise



- Apply mult. noise to parameterized $\partial_t T$ and $\partial_t q$
- SCM experiment of a TOGA-COARE case
- Dotted IC uncertainty; black MN; blue MN decorrelate each scheme; red MN decorrelate T and q perturbations
- Spread larger than with quenched random noise
- $C_p \Delta T = L \Delta q$ in phase changes matters



**How far have we come in
considering the physics of
convective fluctuations?**



An earlier workshop



- ECMWF Workshop on Representation of Sub-grid Processes using Stochastic–Dynamic Models, 6-8 June 2005
- Working Group 1 Report: Issues in Convection

it is clear that a stochastic convection scheme is desirable

- Issues to be addressed...

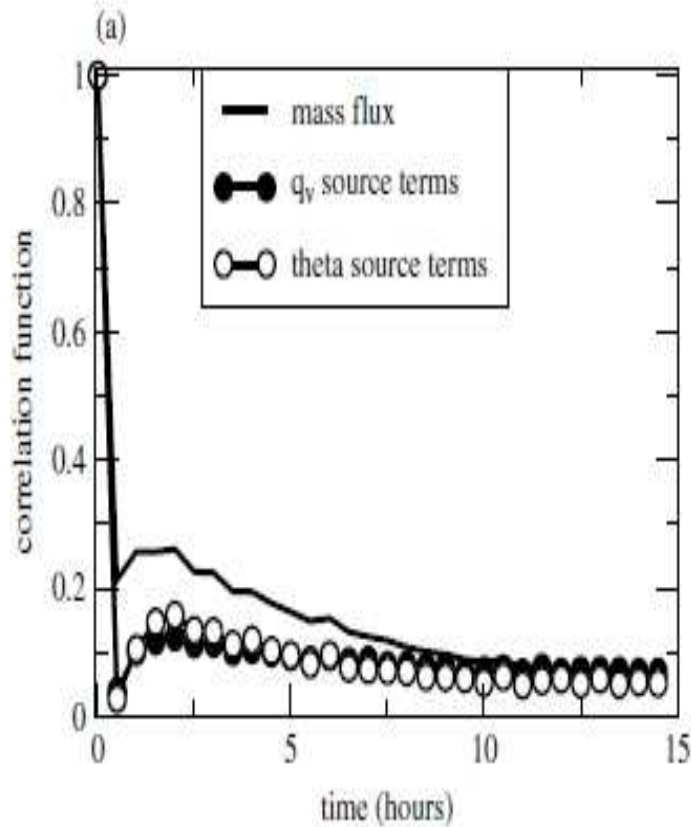




Physical and numerical noise



Artificial noise



- Stiller (2009) N48L38 MetUM
- Convection schemes often show artificial on-off behaviour even if subject to time-invariant forcing
- May need to remove artificial noise in order to see a physical source of fluctuations?

Scale-dependence of parameterization



Finite cloud number



- Convective instability is released in discrete events
- The number of clouds in a GCM grid-box is not large enough to produce a steady response to a steady forcing
- In equilibrium, for non-interacting clouds:
 - pdf of mass flux of a single cloud is exponential
 - number of clouds in finite-size region is given by Poisson distribution
- Agrees well with CRM data

Craig and Cohen 2006



Plant and Craig parameterization

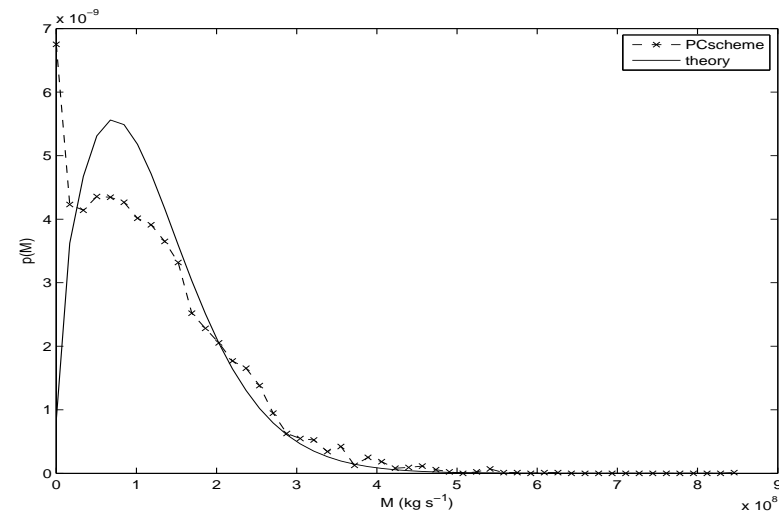
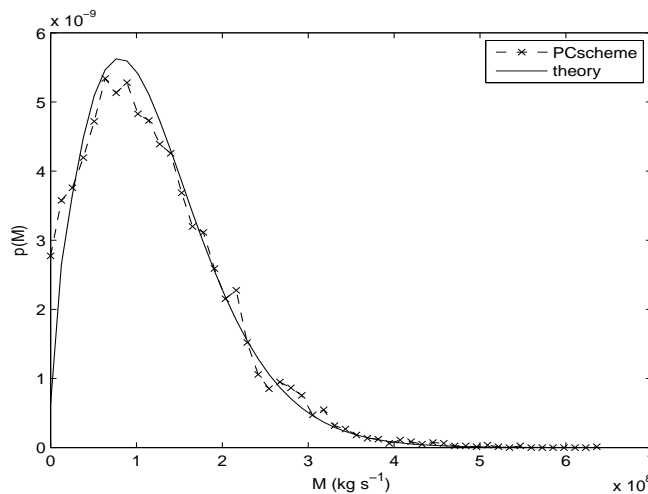
Mass-flux formalism...

1. average in the horizontal to determine the large-scale state
2. evaluate properties of equilibrium statistics: $\langle M \rangle$ and $\langle m \rangle$
3. draw randomly from the equilibrium pdf to get number and properties of cumulus elements in the grid box
4. compute convective tendencies from this set of cumulus elements

Grid scale \neq large-scale state



- Idealized RCE on 3D domain with parameterized convection, $\Delta x = 32\text{km}$
- Reproduce theoretical pdf of mass flux by averaging input over $\sim (160)\text{km}^2$ for $\sim 1\text{hr}$
- But not if using grid-scale input



Prognostic closures



Prognostic closure



- Based on convective-energy-cycle equations

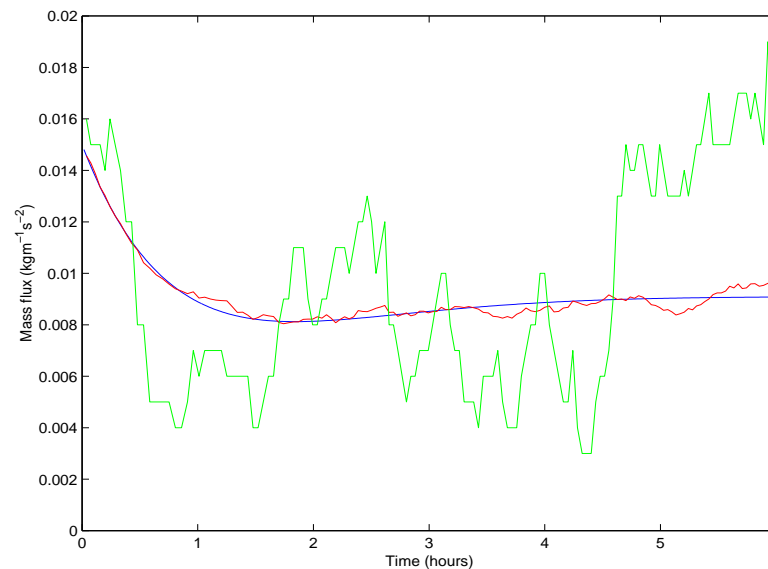
$$\frac{dA_i}{dt} = F_i - \gamma_{ij}M_j \quad ; \quad \frac{dK_i}{dt} = A_iM_i - \frac{K_i}{\tau_i} \quad ; K_i = \alpha_i M_i^2$$

- Recent revival of interest (Davies et al 2008, Wagner and Graf 2010, Yano and Plant 2011)
- Can construct stochastic form of these closures for a finite-size region using cellular automata with simple birth-death processes
- Point is that CA rules are strongly constrained by demanding that the ode's are recovered in the limit of infinite system size



Numerical example

Timeseries of M for Pan & Randall system, constant forcing with $\langle N \rangle = 10$ at equilibrium



Blue: solution of the Pan/Randall ODEs

Green: a single realization of the stochastic CA

Red: ensemble mean of 100 realizations



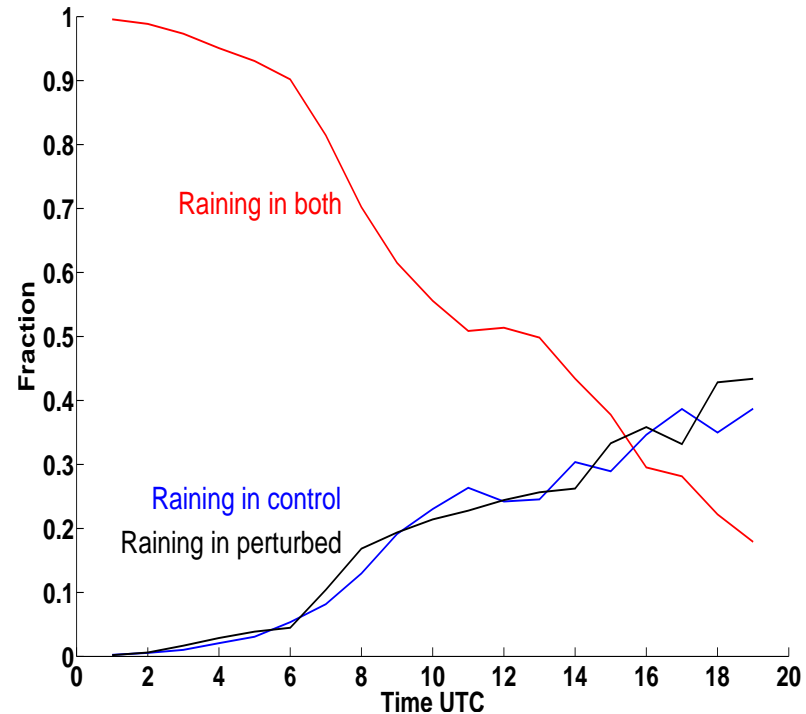
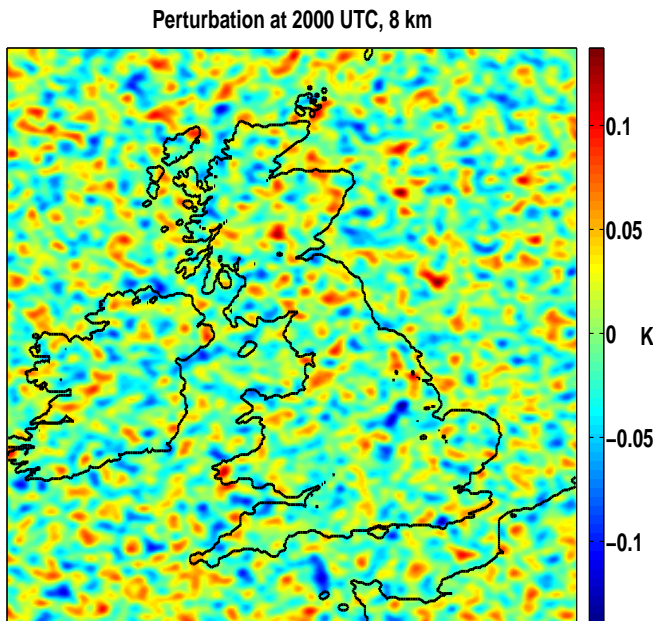
Effects of sub-grid variability on initiation



Initiation



- Various demonstrations that boundary layer fluctuations can easily shift the locations of precipitating cells e.g. Leoncini et al (2010)



- Source of ensemble spread for convective-scale NWP



Accounting for fluctuations



- Bright and Mullen (2002) tried stochastic triggering function in Kain-Fritsch
- Recent attempts to try a closure of the form $\exp(-CIN/TKE)$ emphasize role of boundary layer fluctuations, but not done stochastically (e.g. Hohenegger 2011)
- What is the correct coupling to the boundary-layer scheme?
- How does a closure based on boundary layer fluctuations behave in an equilibrium situation?



Propagation



Propagation



- We have difficulties with propagation and organization of convection, possibly because of lack of communication between cells
- Cellular-automata based approaches may be able to improve on this
Bengtsson-Sedlar talk later...
- Grandpeix and Lafore (2010) propose simple coldpool propagation model but only applied in 1D
- Not necessarily stochastic!



Summary

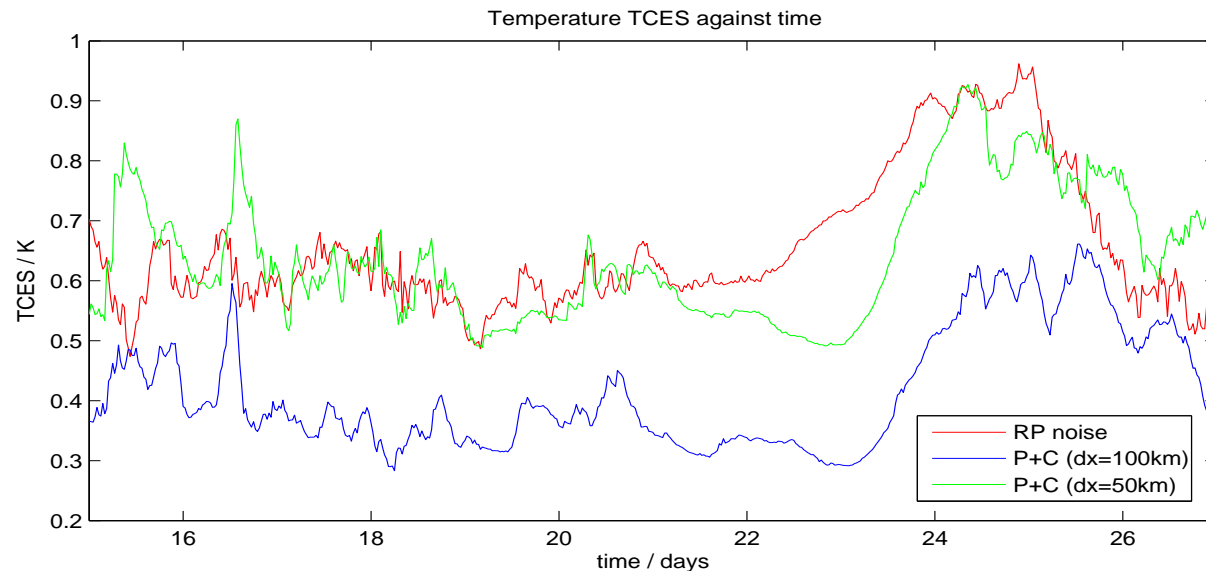


- Many uncertainties (structural, parameter, intrinsic) associated with convection
- Discrete nature of cumulus clouds seems to demand a stochastic approach
- Fluctuations increase as Δx reduces, and must depend on Δx and intensity
- We know how to account for this in equilibrium
 - But note that number fluctuations of $= 2/\sqrt{N}$ implies a spectral not bulk formulation
- We could do this out-of-equilibrium
- Far from equilibrium situations need careful coupling of convective and boundary-layer schemes



Sampling uncertainty

- Spread in column-average T from Plant-Craig scheme as function of grid-box size

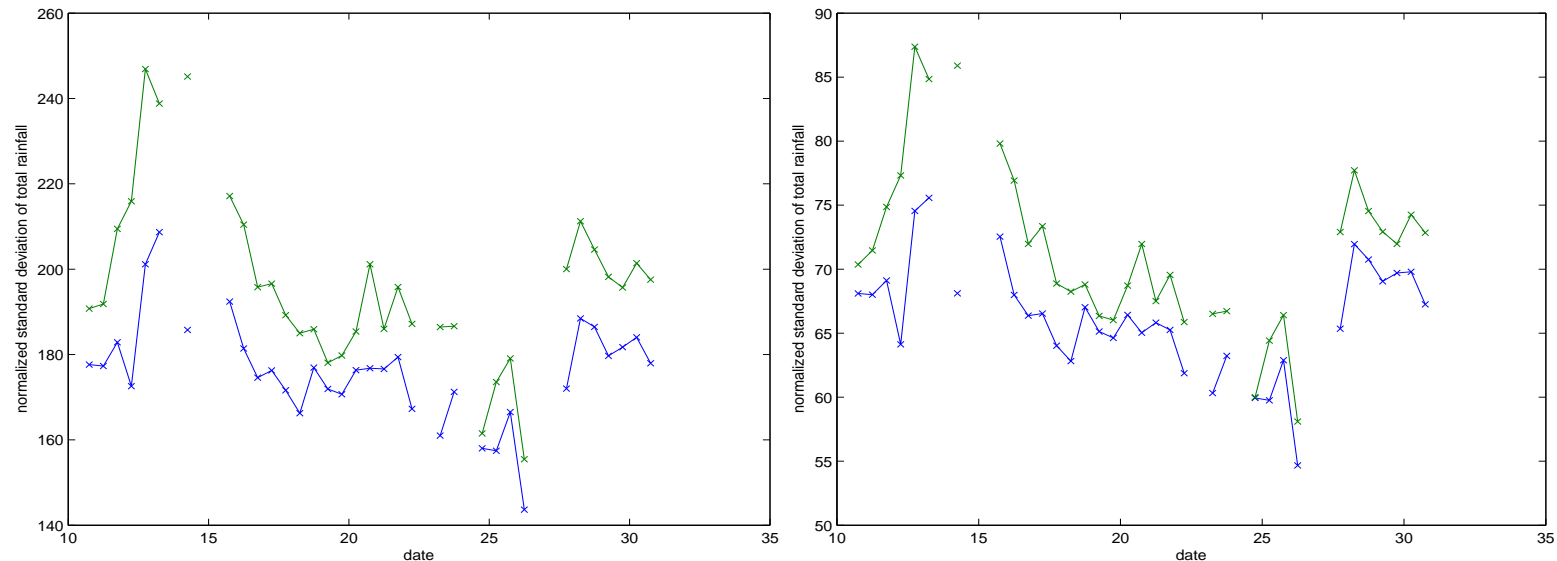


Similar to mult. noise or random parameters for $\Delta x = 50\text{km}$

MOGREPS trial



- Running at $\Delta x = 24\text{km}$ in MOGREPS ensemble



Std. dev. in rainfall averaged over $(48\text{km})^2$ (left) and $(120\text{km})^2$ (right)

